

WILLIAM R. HEASTON

The Wharton School, University of Pennsylvania
Legal Studies and Business Ethics Department
Jon M. Huntsman Hall, Suite 600
3730 Walnut Street
Philadelphia, PA 19104
Phone: (515) 306-5702
Email: wheaston@wharton.upenn.edu

EDUCATION

The Wharton School, University of Pennsylvania. Philadelphia, PA. Aug. 2018-Present
Ph.D. Student, Ethics and Legal Studies

- Winkelman Fellowship in Applied Economics (2020-present) (awarded to two third-year Ph.D. students across all Wharton Doctoral Programs)
- Heckmann Doctoral Fellowship (2019-2020)
- Spilker Corporate Governance Fund (summer research; 2019, 2020)
- George James Doctoral Fellowship (2018-19)

University of Pennsylvania Law School. Philadelphia, PA. Sept. 2017-Present
J.D. Candidate, Law

- Lipman Redman Prize – Best Brief in First-Year Class
- Honors in Legal Research & Writing

University of Sussex. Brighton, England. Sept. 2015-Oct. 2016
M.A., with Distinction, Corruption and Governance

- Award for Outstanding Corruption Analysis (highest honors in program)
- Chancellor's International Scholarship

Drake University. Des Moines, IA. Aug. 2011-May 2015
B.A., *summa cum laude*, International Relations and History
--Minors: Economics and Business Administration

- Phi Beta Kappa
- Rhodes and Marshall Scholarships Nominee (fall 2014)
- President's List
- Keach Johnson Award (outstanding graduating history major)
- Phi Alpha Theta (History) and Pi Sigma Alpha (Political Science) Honor Societies
- Editor, *Drake University Social Science Journal*

RESEARCH

Research Interests

- Organizational Corruption and Anti-Corruption Law
- Corporate Criminal Law and Corporate Compliance

- Neo-Institutionalism and Organizational Theory
- Ethics and Organizational Culture

Publications

PEER-REVIEWED JOURNAL ARTICLES

- Heaston, W.R., Mitchell, M.C., & Kappen, J.A. “Institutional Reflections on Organizational Corruption Control: The Case of FIFA,” *Global Governance* (forthcoming 2020).
- Mitchell, M.C., Kappen, J.A., Heaston, W.R. “Taboo No More: A Qualitative Country Institutional Profile of Life Insurance in China and India,” *Management Research Review* 38(8) (2015).

BOOK CHAPTERS

- Hough, D. & Heaston, W.R. “The Art of Missing the Point: FIFA and the Control of Corruption,” in I. Kubbe & A. Engelbert (Eds.), *Corruption and Norms: Why Informal Rules Matter*. London: Palgrave Macmillan (2018).

Working Papers

- Heaston, W.R. “Certifiably Corrupt? ISO 37001 and the Pitfalls of an International Anti-Bribery Certification Scheme” (draft completed; targeting law journals).

Research in Progress

- Heaston, W.R. “Artificial Victimhood: Revisiting the Distinction Between Bribery and Extortion” (draft in progress).
- Co-authoring paper with Prof. Brian Feinstein (Wharton) examining the strategic behaviors and political dynamics present in corporate defendants’ interactions with government prosecutors in Foreign Corrupt Practices Act enforcement actions (draft in progress).

Research Accomplishments and Awards

- Received “Best Presentation Award” at Drake University’s Undergraduate Conference on Global Affairs for presentation of senior thesis on corruption in the U.N. (Apr. 2015)
- Received “Best Undergraduate Paper Award” for paper on corruption in FIFA at Academy of International Business-Southeast Conference (Georgia Tech, Oct. 2013)
- Finalist for “Best Conference Reviewer Award” at AIB-Southeast conferences hosted by Florida Atlantic University (Nov. 2012) and Georgia Tech (Oct. 2013)

Conference Papers and Presentations

- Heaston, W.R. “Certifiably Corrupt? ISO 37001 and the Pitfalls of an International Anti-Bribery Certification Scheme.” *Academy of Legal Studies in Business*. Montreal, Quebec, Canada (Aug. 2019).
- Heaston, W.R. Panel on Corruption, Political Institutions, and Civic Identity. *Nelson Institute Undergraduate Conference on Global Affairs*. Drake University. Des Moines, IA (Apr. 2015).
- Heaston, W.R. & Mitchell, M.C. “Examining the Institutional Context of Organizational Corruption Controls: The Case of FIFA.” *Academy of International Business-Global*

Meeting. Competitive Track. Simon Fraser University. Vancouver, British Columbia, Canada (Jun. 2014).

- Heaston, W.R. Panel on International Political Economy. *Nelson Institute Undergraduate Conference on Global Affairs*. Drake University. Des Moines, IA (Apr. 2014).
- Heaston, W.R. "Toward an Institutional Model of Organizational Corruption Controls: The Case of FIFA." Competitive Track. *Academy of International Business-Southeast*. Georgia Tech. Atlanta, GA (Oct. 2013).
- Mitchell, M.C. & Heaston, W.R. "Savings and Superstitions: An Institutional Comparison of the Life Insurance Industries in China and India." *Academy of Management*. Orlando, FL (Aug. 2013).
- Mitchell, M.C. & Heaston, W.R. "Savings and Superstitions: An Institutional Comparison of the Life Insurance Industries in China and India." *Academy of International Business-Southeast*. Florida Atlantic University. Fort Lauderdale, FL (Nov. 2012).

Invited Presentations

- Heaston, W.R. "Artificial Victimhood: Resurrecting the Distinction between Bribery and Extortion." The Wharton School, Department of Legal Studies and Business Ethics. Philadelphia, PA (May 2020).
- Heaston, W.R. "Institutional Reflections on Organizational Corruption Control: The Case of FIFA." The Wharton School, Department of Legal Studies and Business Ethics. Philadelphia, PA (May 2019).

Refereeing

- Ad hoc reviewer, *Journal of Business Ethics*

ACADEMIC RESEARCH/TEACHING POSITIONS

The Wharton School, Department of Legal Studies and Business Ethics, University of Pennsylvania. Philadelphia, PA.

- Research Assistant for Professor Brian Feinstein (Jan.-May 2020)
 - Conducted literature reviews in preparation for drafting a jointly authored research paper
- Teaching Assistant for Professor Amy Sepinwall (Aug.-Dec. 2019)
 - Graded quizzes/exams and helped students outside of class in an introductory lecture course on business ethics
 - Lectured for one class session on the topic of corruption

Drake University, College of Business and Public Administration. Des Moines, IA.

- Research Assistant for Professor Matthew Mitchell (Jan. 2012-May 2015)
 - Conducted literature reviews, wrote and reviewed conference papers, and prepared articles for submission to journals

WORK EXPERIENCE

U.S. Court of Appeals for the Third Circuit. Philadelphia, PA.
Judicial Intern, Chambers of The Hon. Cheryl Ann Krause

Jun.-July 2020

U.S. District Court for the Southern District of Iowa. Des Moines, IA. July-Aug. 2018
Judicial Intern, Chambers of The Hon. Stephanie M. Rose, District Judge

- Drafted proposed initial review order dismissing prisoner's claims against state penitentiary
- Drafted memorandum assessing the procedural defects and merits of a petition for writ of habeas corpus
- Conducted research in the areas of federal habeas corpus law and prisoner § 1983 claims

U.S. District Court for the Central District of Illinois. Peoria, IL. June 2018
Judicial Intern, Chambers of The Hon. Jonathan E. Hawley, Magistrate Judge

- Conducted research in the areas of civil procedure, criminal procedure, and torts

Bâton Global, LLC. Des Moines, IA. Feb.-July 2017
Research Associate

- Researched strategic and human resource management issues relevant to current and potential clients in the Gulf Cooperation Council (GCC) region
- Authored research briefs on talent retention, motivational management, and FinTech innovation in the GCC to establish an ongoing research agenda for Bâton Global and market its consulting capabilities to potential clients

Soccer Coach. Central Iowa Area; Brighton, England. Aug. 2011-Dec. 2015

- Holds highest professional goalkeeper coaching qualification in the United States (passed written/oral/on-field coaching exams for Advanced National Diploma course led by Tony DiCicco, former head coach of World Cup- and Olympic Gold Medal-winning U.S. women's national team)
- Directed and developed training curricula, camps, and development programs for goalkeepers at the youth, high school and college levels
 - Schools: University of Sussex; Simpson College; Dowling Catholic High School
 - Clubs: West Des Moines Soccer Club; Iowa Rush

Gislason & Hunter, LLP. Des Moines, IA. June 2011-July 2015
Filing Clerk

- Managed files and electronic filing databases for an extensive docket of insurance defense cases, particularly medical malpractice
- Organized and prepared essential documents for attorneys before depositions, mediations, and trials