

# Roger M. Machlis

roger.machlis@credit-suisse.com

## PROFESSIONAL EXPERIENCE

***Credit Suisse*** (New York): April 1997 to current

***Managing Director, General Counsel IWM Asset Management (January 2016 to current)*** – Manage global legal department of over 30 professionals covering Asset Management businesses

- Advise management on significant legal, regulatory, and reputational issues and new business/products
- Member of AM management committee, risk and control committee, new products committee

***Managing Director and Head of Private Banking and Wealth Management Legal & Compliance Team for Asset Management and Product Management (July 2013 to December 2015)*** – Managed global department of over 80 legal and compliance professionals

***Managing Director and General Counsel of Asset Management Division (September 2005 to June 2013)*** - Managed global department of over 70 legal and compliance professionals

***Managing Director and General Counsel of Alternative Capital Division (November 2004 to August 2005)***- Managed a team of over 20 legal professionals covering the private equity, hedge fund, and credit businesses

***Co-Head of the Americas Securities Division Legal Team (April 2003 to October 2004)***- Managed a department of over 50 lawyers and documentation specialists covering Fixed Income and Equities

***Deputy Head of the Americas Fixed Income Legal Team (January 1999 to March 2003)***- Managed a team of lawyers and advised on Fixed Income products.

***Vice President and Counsel to Emerging Markets Group (April 1997 to December 1998)***- Legal adviser to EMG's origination, structuring, and sales and trading teams.

***Citigroup*** (New York): August 1989 to March 1997

***Senior Attorney of the Cross Border Finance Group***

Legal adviser to international/emerging markets sales, trading, capital markets, financing and investment banking

***Simpson Thacher & Bartlett*** (New York): December 1986 to August 1989

***Corporate/Banking Associate***

Legal coverage for corporate/banking transactions, including securities offerings, loans, project finance and M&A.

***KPMG Peat Marwick LLP (Boston)***: August 1982 to August 1983

***Public Accountant***: Audited corporate/banking clients.

## EDUCATION

***New York University School of Law, J.D. May 1986***

- Annual Survey of American Law: Articles Editor
- Moot court competition: Best brief, oralist and overall competitor.

***The Wharton School, University of Pennsylvania, B.S.E. May 1982***

- *Magna cum laude*, major in Economics (concentration: Accounting)

## PROFESSIONAL CERTIFICATION

- New York bar (admitted August 1987)
- FINRA Series 7 Registered Representative (December 1997)