# William S. Laufer

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# Education

Ph.D., Rutgers University, Graduate School of Criminal Justice, Newark, NJ, 1987

Dissertation Title: *The Development of a Measure of Psychosocial Control*

(Chair: Dr. Don Gottfredson)

M.A., University of Pennsylvania (Honorary), 1995

J. D., Northeastern University School of Law, Boston, MA, 1983

NAACP Legal Defense Fund & Educational Fund (LDF), Capital Punishment Project,

2L and 3L (1981-1983) (Supervised by: Jack Greenberg, Jack Boger, James

Liebman, and William T. Coleman)

Hon. Robert W. Sweet, Judge of the United States District Court for the Southern

District of New York, Student Clerk, 2L

B.A., Johns Hopkins University, Baltimore, MD, Social & Behavioral Sciences, 1979

Center for Metropolitan Planning and Research, 1979-1980

# Academic Employment

Julian Aresty Professor, Department of Legal Studies and Business Ethics, The Wharton

School, University of Pennsylvania, Philadelphia, PA, 2007-present

Professor, Legal Studies and Business Ethics, Sociology, and Criminology, 2007-present

Associate Professor of Legal Studies and Business Ethics; 1995-2006

Associate Professor of Criminology (secondary appointment with voting rights) 2000-2006

Associate Professor of Sociology (secondary appointment), 2001-2006

Faculty, Graduate Group in Criminology; 2000-present

Anheuser-Busch Term Assistant Professor, 1989-1995

Assistant Professor, Doctoral Program in Criminology, The Wharton School, University of

Pennsylvania, Philadelphia, PA 1989-1990

Assistant Professor, Department of Criminal Justice, College of Arts & Sciences, Temple

University, Philadelphia, PA 1986-1989

## Visiting Faculty, Joint Vienna Institute, International Monetary Fund, 2013

Visiting Scholar, New York University School of Law, New York, NY, Spring, 2002

# Administrative Experience

Co-Director, Carol and Lawrence Zicklin Center for Governance and Business Ethics, The Wharton School, University of Pennsylvania, 2022-present (launched the Zicklin Review; First and Second Zicklin Bright Index for Business and Human Rights; co-launched the Policing the Amazon Project with Eduardo Saad-Diniz. Planned relaunch of the Ideas for Action Competition (2025-2026)

Director, Carol and Lawrence Zicklin Center for Business Ethics Research, The Wharton School, University of Pennsylvania, 2000-2010, 2013-2022 (signed MOUs with the World Bank Group, UNDP, UN Global Compact, Center for Strategic International Studies (CSIS), and Center for Corporate Political Accountability (CPA); sponsored or co-sponsored more than 100 conferences, workshops, and seminars including the first national academic conference on socially responsible investing (SRI) in 2000 and the first and longest lasting Normative Business Ethics Workshop series; The Thomas W. Dunfee Distinguished Lecture Series in Ethics; proposed the CPA-Zicklin Index in 2009; CPA Zicklin Model Code of Corporate Political Accountability in 2014)

Associate Director, 1998-1999; Member, Academic Advisory Board, Zicklin Center

Department Chair, Criminology, School of Arts and Sciences, University of Pennsylvania, 2007-2008

Graduate Chair, Department of Criminology, University of Pennsylvania, 2008-2009.

Undergraduate Coordinator, Program in Criminology, University of Pennsylvania, 2003- 2004

Associate Director, The Jerry Lee Center of Criminology, University of Pennsylvania, 2001- 2009

Fellow, Jerry Lee Center of Criminology, 2000-2009

Doctoral Program Director, Criminology, Wharton School, 1989-1998

Associate Director, Sellin Center for Studies in Criminology and Criminal Law, The Wharton School, University of Pennsylvania, 1989-1990.

# Memberships, Honors and Awards

## Selected Professional Service

Series Co-Editor/Founding Editor, *Advances in Criminological Theory,* 1989-2025

Advisory Board, Oral History of Criminology Project, 1989-present

Member, Editorial Board, *Israel Law Review* (Hebrew University Faculty Law),

2004-2010

Associate Editor, *Journal of Research in Crime and Delinquency* (journal of

The National Council on Crime and Delinquency), 2006-2010

Editorial Board, *Crime, Law and Social Change*, 2010-2020

Editorial Board, *Quarterly Review of Economic Criminal Law (Rivista Trimestrale di diritto*

*penale dell’economia)*, 2019-2022

Editorial Board, *Journal of Economic Crime Management,* 2005-2010

Special Issue Editor, *Journal of Business Ethics*, Volumes 43 and 88

Occasional Reviewer, *Yale Law Journal, Journal of Quantitative Criminology*, *Journal of Business*

*Ethics, Business Ethics Quarterly, Journal of Management, Journal of White Collar and*

*Corporate Crime, Crime, Law, and Social Change*

Regional Secretary-General (North America), International Society of Social Defense

and Humane Criminal Policy, Economic and Social Council, United Nations

ISSD, (see: <http://defensesociale.org/members/?lang=en>) 2004-2017

Faculty Affiliate, Penn Program on Regulation (Penn Law School), 2015- present

Board Member, Center for Political Accountability (Washington, DC), 2009-present

Highlights found in: Bruce F. Freed, Dan Carroll, and William S. Laufer, The 2019 CPA-Zicklin Index of Corporate Political Disclosure and Accountability, Harvard Law School Forum on Corporate Governance and Financial Regulation, November 12, 2019 (available at: [https://corpgov.law.harvard.edu/2019/ 11/12/the-2019-cpa-zicklin-index-of-corporate-political-disclosure-and-accountability/](https://corpgov.law.harvard.edu/2019/%2011/12/the-2019-cpa-zicklin-index-of-corporate-political-disclosure-and-accountability/)); Bruce F. Freed, Karl Sandstorm, and William S. Laufer, The CPA-Wharton Model Code of Conduct: A New Framework to Help Companies Navigate Today’s Perilous Political Spending Terrain, Harvard Law School Forum on Corporate Governance and Financial Regulation, November 28, 2020; Bruce F. Freed, William S. Laufer, and Karl Sandstorm, New Survey Reveals Strong Shareholder Support for Companies to Follow a Code of Conduct for Political Spending, Columbia Law School, Blue Sky Blog*,* September 16, 2024

Faculty Advisory Committee, Initiative for Global Environmental Leadership, 2014-2019

Senior Advisor, International Infrastructure Finance Corporation Fund (Mariner Investments),

2013-2019

Senior Advisor, Schemmel Merck, Munich Germany, 2021-2023

Senior Advisor, GCIX Counsellors at Law, Munich Germany, 2024-present

Founding Partner, Worth Street Group, Munich Germany, 2021-present

Senior Advisor, United States. GCE Counsellors at Law, Munich, Germany, 2023-2024

Limited Partner and Member, Advisory Board, Newmarket Capital, Philadelphia, PA, 2020-

present

Member, Expert Advisory Committee, World Economic Forum, Anti-Corruption

Collective Action Project in the Infrastructure and Urban Development Industries, 2014-

2017

Member, Academic Council, Hills Program Governance, Center for Strategic and

International Studies, Washington, D.C., 2002-2015

Member, National Consortium for White Collar Crime Research, 1999-2012

Reviewer, Campbell Collaboration, White Collar and Corporate Crime Deterrence, 2003-2005

Member, External Advisory Board, Center for Violence Prevention, Children’s Hospital of

Philadelphia, 2006-2011

Member, United Nations Global Compact Academic Advisory Team, 2006-2008

Advisory Board Member, Business Contributions to the Millennium Development Goals,

United Nations Development Program, 2006-2008

Chair, Working Group on Crime as Business, 1999-2003

Chair, Committee on Development, American Society of Criminology, 2002-2003

Senior Fellow, Ethics Resource Center (EC), Washington, D.C., 1997-2000

Program Chair, Annual Conference, American Society of Criminology, Chicago, Illinois, 2002

Member, Expert Reference Group, Assessing Australian Reform and Boardroom Practice

of Corporate Governance against International Benchmarks, 2005-2006

Advisor, Junior Caux Round Table, Washington, D.C., 2000-2002

Member, Community Partnership Program, Philadelphia Division, Federal Bureau of Investigation, 1999-2000

Member, International Advisory Board, International Center for Corporate Accountability, New York, NY, 2005-2009

Board Member, Asociación Panamena de Examinadores de Fraude, 2005-2007

Member, Advisory Board, Centre for Comparative Law, History, and Governance, Division of

Law, Macquarie University (New South Wales, Australia), 2006-2009

Member, Faculty Advisory Board, *Journal of Animal Law and Ethics*, University of Pennsylvania School of Law, 2006-2008

Peer Review Consultant, National Institute of Justice, NIJ Technical Assistance and Support Program, 1994-1996

Member, Committee on National Policy, American Society of Criminology, 1995–1996

Member, Wolfgang Award Committee, Guardsmark, 1994-2007; Chair, 2000

Member, Mayor’s Task Force on Private Sector Productivity, City of Philadelphia,

1992- 1993

Member, Working Group on Global Business Ethics Principles, The Conference Board,

1997-1999

Vice-Chair, Ethics Section, Academy of Legal Studies in Business, 1991-1992

Member, Board of Directors, Joseph J. Peters Institute, Philadelphia, PA

(affiliated with Pennsylvania Hospital), 1990-1992

Member, Constitution and By-laws Committee, American Society of Criminology, 1988-1989

Member, Board of Directors, Institute for the Study of Genocide, City University of New York, 1989-1990

## Teaching Awards

**MBA**

Miller-Sherrer MBA Core Teaching Award, 1999-2000, 2001-2002, 2003-2004

Marc & Sheri Rapaport Core MBA Teaching Award, 2001-2002, 2012-2013, 2013-2014

MBA Excellence in Teaching Award, 2000-2001, 2001-2002

MBA “Above and Beyond the Call of Duty” Award, 2001

**Undergraduate Division**

David W. Hauck Award, 1991, 1997 (first recipient non-tenured faculty member, 1991; first recipient of award for non-tenured and tenured faculty, 1997)

Core Teaching Award, 1996-1997, 1997-1998, 1999-2000, 2001-2002

Teaching Excellence Award, undergraduate class 1990-1991, 1992-1993, 1996-1997, 1998-1999,

2000-2001; 2004-2005, 2006-2007, 2008-2009, 2009-2010, 2011-2012, 2013-2014, 2015-

2016, 2017-2018, 2019-2020, 2020-2021; 2021-2022, 2022-2023, 2023-2024, 2024-2025

Teaching Reward, The Wharton School, 2017-2018; 2018-2019

# Publications

## Articles, Books, Book Chapters, Working Papers, and Work in Progress

1. William S. Laufer, Critical Corporate Criminal Law Theory, work in progress (law review article)
2. William S. Laufer and Eduardo Saad-Diniz, Saving the Amazon: Climate Finance Games, working paper (journal article)
3. Kyle B. Grigel and William S. Laufer, Lying to the Public: Minimal Moral Duties and the Noble Lie*,* working paper (law review essay)

1. William S. Laufer, Affirmative Justice, Revisited, working paper, (law review article)
2. William S. Laufer, The Costs of Compliance, in J. Fanto (ed.) Elgar Concise Encyclopedia of

Compliance Law, under contract

1. William S. Laufer, The Compliance Industry, in J. Fanto (ed.) Elgar Concise Encyclopedia of Compliance Law, under contract
2. William S. Laufer, Cosmetic Compliance, in J. Fanto (ed.) Elgar Concise Encyclopedia of Compliance Law, under contract
3. Bruce Freed, Jeanne Hanna, Karl Sandstrom, and William S. Laufer *Public Failure, Private Ordering: Successful Self-Regulation of Corporate Political Spending,* University of Pennsylvania Journal of Business Law (2025) (Symposium Issue)
4. Miranda Galvin and William S. Laufer, Afterword: Corporate Criminal Justice in Advances in Criminological Theory, Volume 27, Corporate Crime (2025)
5. William S. Laufer and Susana Aires de Sousa, Corporate Criminal Law and the Illusory Offender and Victim, in Advances in Criminological Theory, Volume 27 (2025)
6. Bruce Freed, Karl Sandstrom, and William S. Laufer, Private Orderings and Corporate Political Accountability, in T. Lyon (ed.) Corporate Political Accountability (Cambridge University Press, 2024)
7. Susana Aires de Sousa and William S. Laufer, Defending the State’s Responsibility for Corporate Criminal Justice, 46 Journal of Corporation Law 1119 (2022) (Symposium Issue)
8. William S. Laufer, Some Observations About Corporate Criminal Justice: Thirty Years Later, in Essays in Honor of Professor Antonio Fiorella, eds. Mauro Catenacci et al (University of Roma Tre Press)) (2021)
9. William S. Laufer, *Corporate Crime in Context*, In M. Engelhart, Hans Kudlich, and Benjamin Vogel (eds.) A Festschrift for Ulrich Sieber (Max Planck Institute for Foreign and International Criminal Law Freiburg, Germany, 2021)
10. William S. Laufer and Robert C. Hughes, *Justice Undone*, 58 American Criminal Law Review 155 (2021)
11. William S. Laufer and Matthew Caulfield, *Wall Street and Progressivism,* 37 Yale Journal of Regulation Bulletin 36 (2019) (summarized in Laufer and Caulfield, *Demonizing Wall Street,* Harvard Law School Forum on Corporate Governance, February, 2020 (https://corpgov.law.harvard.edu/2020/03/09/demonizing-wall-street/) )
12. William S. Laufer, *Preface*, *The Missing Account of Corporate Victimology*, Eduardo Saad-Diniz, Vicimologia Corporativa (Florianopolis: Tirant Lo Blanch, 2019)
13. William S. Laufer and Nicola Selvaggi, Exceptionalism and Corporate Criminal Law, Revista Trimestrale di Diritto Penale dell’ Economia(Quarterly Review of Economic Criminal Law, vol. 1-2, 2019)
14. William S. Laufer, *Promoting a Progressive Account of Corporate Criminal Justice,* Special Issue: The Role of Corporations in Criminal Justice, 89 International Review of Penal Law 35-45 (Max-Planck-Institute for Foreign and International Criminal Law, 2019) (Abridged and Edited Version of *The Missing Account of Progressive Corporate Criminal Law*); translated in William S. Laufer, “Promoting a Progressive Corporate Criminal Law,” in *Lecciones Sobre Responsabilidad Penal de las Personas Jurídicas y Compliance Penal* (2020) (in Spanish)
15. Matthew Caulfield and William S. Laufer, Corporate Moral Agency at the Convenience of Ethics and Law, 17 Georgetown Journal of Law and Public Policy 953 (2019) (Symposium Issue)
16. Mihailis Diamantis and William S. Laufer, Corporate Prosecution and Punishment, 15 Annual Review of Law and Social Sciences (2019) (Excerpted *Vast Majority of Corporate Crimes Not Prosecuted and Not Punished*, Corporate Crime Reporter, August 7, 2019; reprinted and translated into Portuguese in Corporate Crime (*Escola do Ministério Público do Estado de Goiás* (School of Prosecutors of the State of Goiás – ESUMP/MPGO), *Escola Nacional do Ministério Público* (ENAMP) and *Unidade de Capacitação do Ministério Público* (UNCMP/CNMP) (2019)
17. Matthew Caulfield and William S. Laufer, Response: The Promise of Corporate Character Theory, 103 Iowa Law Journal Bulletin 101 (2018); response from Mihailis Diamantis, Looking Glass: A Reply to Caulfield and Laufer, 103 Iowa L. Rev. Online 147 (2019); covered in Matthew Caulfield and William S. Laufer, *Assessing Corporate Character as a Basis for Criminal Sentencing*, Columbia Law School, Blue Sky Blog, June 20, 2019; *Corporate Character as a Basis for Criminal Sentencing*, Business Ethics Highlights, July 1, 2019
18. William S. Laufer, A Very Special Regulatory Milestone, 20 University of Pennsylvania Journal of Business Law 392-428 ­­­­(2018) (reprinted in: Anuario de Derecho Penal Económico y de la Empresa, Yearbook of Economic and Business Criminal Law, Volume 5, 1-44 (2019) (translation in Spanish); reprinted and translated into Portuguese in Corporate Crime (*Escola do Ministério Público do Estado de Goiás* (School of Prosecutors of the State of Goiás – ESUMP/MPGO), *Escola Nacional do Ministério Público* (ENAMP) and *Unidade de Capacitação do Ministério Público* (UNCMP/CNMP) 2021)
19. William S. Laufer, The Missing Account of Progressive Corporate Criminal Law, 14 New York University Journal of Law and Business 71-142 (2017) reprinted and translated into Portuguese in Corporate Crime (Escola do Ministério Público do Estado de Goiás (School of Prosecutors of the State of Goiás – ESUMP/MPGO), Escola Nacional do Ministério Público (ENAMP) and Unidade de Capacitação do Ministério Público (UNCMP/CNMP) in press)
20. William S. Laufer, Compliance and Evidence: Glimpses of Optimism from a Perennial Pessimist, In K. Tiedemann, U. Sieber, H. Satzger, C. Burchard, & D. Brodowski (eds.), Die Verfassung moderner Strafrechtspflege: Erinnerung an Joachim Vogel (Baden-Baden: Nomos, 2016)
21. William S. Laufer, Corporate Inauthenticity and the Finding of Fault, In F. Centronze & M. Mantovani (Eds.) La Responsabilita Penale Delgli Enti, (Milano: Il Mulino, 2016)
22. William S. Laufer, The Compliance Game, In Saad-Diniz, D. Brodowski, & A. Luiza (eds.), Regulação do abuso no âmbito corporativo: o papel do direito penal na crise financeira (São Paulo: LiberArs, 2015); published in Argentina as: William S. Laufer, The Compliance Game, In Saad-Diniz et al., Regulacion del Abuso en el Ambito Corporativo (Buenos Ares: AIDP). See, William S. Laufer On the Corporate Compliance Game, Corporate Crime Reporter, August 2, 2021.
23. William S. Laufer and Danielle M. Dorn, Criminology and Forensic Psychiatry, in Robert Sadoff (ed.), The Evolution of Forensic Psychiatry: History, Current Developments, Future Direction (New York: Oxford, 2015)
24. William S. Laufer, Where is the Moral Indignation over Corporate Crime? in D. Brodowski, M. Esponoza de los Monteros de la Para, K. Tiedmann, and J. Vogel (eds.), Regulating Corporate Criminal Liability (New York: Springer, 2014)
25. William S. Laufer, Corporate Criminal Law in the United States: The Emergence of a Progressive Account, Cashiers De Defense Social (Milan, Italy: United Nations, 2014)
26. William S. Laufer, Modern forms of corruption and moral stains, 12 Georgetown Journal of Law and Public Policy 373 (2014)
27. Danielle E. Warren, Joseph P. Gaspar, and William S. Laufer, Is Formal Ethics Training Merely Cosmetic? A Study of Ethics Training and Ethical Organizational Culture, Business Ethics Quarterly, 24, 85–117 (2014)
28. Sally S. Simpson, Rorie, M., Alper, M., and Schell-Busey, N., Laufer, W.S. and Smith, N.C.,

Corporate crime deterrence: A systematic review. Campbell Systematic Reviews, 10 (4), 1- 106 (2014)

1. William S. Laufer, Who are the criminals? The politics of crime policy, Contemporary Sociology, 42, 5, 679 (2013)
2. Andrea L. Glenn, William S. Laufer, and Adrian Raine, Responding to Vitacco, Erickson, and Lishner: Holding psychopaths morally and criminally culpable, Emotion Review, 5(4), 423 (2013)
3. Adrian Raine, William S. Laufer, Y. Yang, K. L. Narr, P. Thompson, and A.W. Toga Increased executive functioning, attention, and cortical thickness in white collar criminals. Human Brain Mapping, 33:2932-2940 (2012)
4. Sally S. Simpson, William S. Laufer, N. Craig Smith, Melissa Rorie and Natalie Schell-Busey, Corporate Crime Deterrence Protocol (Campbell Collaboration, 2011)
5. Andrea L. Glenn, Adrian Raine, and William S. Laufer, Is it wrong to criminalize and punish psychopaths? Emotion Review, 3, 302-304 (2011)
6. Danielle E. Warren and William S. Laufer, Are corruption indices a self-fulfilling prophecy? A social labeling perspective of corruption. Journal of Business Ethics, 88: 841-849 (2009) (Symposium Issue)
7. Djordjija Petkoski, Danielle E. Warren, and William S. Laufer, Collective strategies in fighting corruption: Some intuitions and counter intuitions. Journal of Business Ethics, 88: 815-822 (2009) (Symposium Issue)
8. Lauretta Tomasco and William S. Laufer, In the Memory of Thomas W. Dunfee: Recollections of Colleagues, Journal of Business Ethics, 2009, 88, 851–859 (Symposium Issue)
9. Djordjija Petkoski, V. Kasturi Rangan, and William S. Laufer, Business and Poverty: Opening Markets to the Poor, Development Outreach, 10 (2), 3-7 (2008)
10. William S. Laufer, Law, Ethics, and Divergent Rhetoric, Business Ethics Quarterly, 17, 441- 447 (2007)
11. William S. Laufer and Alan Strudler, Corporate Crime and Making Amends, American Criminal Law Review, 44, 1307- 1318 (2007) (cited in the amici briefs of United States v. Ionia Management S.A., in an appeal before the United States Court of Appeals for the Second Circuit (07-5801-CR), June 12, 2008)
12. William S. Laufer, Illusions of Compliance and Governance, Corporate Governance, 6 (3), 239-249 (2006)
13. William S. Laufer The Importance of Cynicism and Humility: Anti-Corruption Partnerships and the Private Sector, Development Outreach, 8 (2), 18-21 (2006)
14. William S. Laufer and Nien-hê Hsieh, Choosing Equal Injustice, American Journal of Criminal Law, 30, 343-362 (2003)
15. William S. Laufer, Social Accounting and Corporate Greenwashing, Journal of Business Ethics, 43, 253- 261 (2003) (reprinted in Corrupcao, Diretos Humanos e Empresas (Brazil: D’Placido, 2018); Responsabilidad Empresarial, Derechos Humanos y la Agenda del Derecho Penal Corporativo (Valencia, Spain: Tirant, 2021)).
16. William S. Laufer, The Social Screening of Investments: An Introduction, Journal of Business Ethics, 43, 163-165 (2003)
17. Nien-hê Hsieh, Mark Schwartz and William S. Laufer, Business Students Debate Ethical Principles and Social Impact, Development Outreach, 4, 24-27 (2002)
18. William S. Laufer and A. Burgess “Stalking” in J. Dressler (ed.) The Encyclopedia of Crime and Justice (New York: Macmillan, 2002)
19. William S. Laufer, Corporate Prosecution, Cooperation, and the Trading of Favors, Iowa Law Review, 87, 123-150, 2002 (Symposium Issue) (reproduced as invited testimony before the United States Sentencing Commission’s Advisory Group on Organizational Guidelines, November 14, 2002; excerpted in Israel, Podgor, & Borman, & Henning, White Collar Crime: Law and Practice, West, 2003; reprinted in full, Fiona Haines (ed.), Crime and Regulation, International Library of Criminology, Criminal Justice and Penology (London: Routledge, 2007))
20. William S. Laufer and G. Geis, Corporate Criminal Law, Cooperative Regulation, and the Parting of Paths, United Nations: Cahiers de Défence Sociale, 2001, (reprinted as “Corporate Crime and a New Brand of Cooperative Regulation,” in United Nations: Cahiers de Défence Sociale, 2002)
21. William S. Laufer and Alan Strudler, Corporate Intentionality, Desert, and Variants of Vicarious Liability, American Criminal Law Review, 37, 1285, 2000 (excerpted in Joo, Corporate Governance: Law, Theory, and Practice, Carolina Academic Press, 2004; reprinted in Models of Corporate Criminal Liability: An American, German and Spanish Perspective, eds. Günter Heine & Carlos Gómez-Jara; reprinted in Modelos de Autorrespondsabilidad Penal Empresarial, ed. Carlos Gomez-Jara Diez (2006))
22. William S. Laufer, Corporate Liability, Risk Shifting, and the Paradox of Compliance, Vanderbilt Law Review, 54, 1343, 1999 (Cited, Brief for the United States, Bankast A.S. v. United States of America, No. 21-1450. United States Supreme Court, on Writ of Certiorari to the United States Court of Appeals for the Second Circuit, December 14, 2022; United States of America, Plaintiff-Appellee, v. Pangang Group Company, Ltd. Defendants-Appellants.United States Court of Appeals, Ninth Circuit, U.S. Supreme Court, 2023. Cited in Guidance for Executive Order 13673, “Fair Pay and Safe Workplaces,” 81 Federal Register 58654-01 (2016))
23. William S. Laufer, “The Forgotten Criminology of Genocide,” The Criminology of Criminal Law: Advances in Criminological Theory, 8, 1999

1. Freda Adler, G. O. W. Mueller, and William S. Laufer, In Memoriam: Marvin Wolfgang 1924-1998, 36 International Annals of Criminology, 36, 175 (1998)
2. William S. Laufer and Diana C. Robertson, Corporate Ethics Initiatives as Social Control, Journal of Business Ethics, 1997, 16, 1029-1048
3. William S. Laufer, Legal Ethics, in P. Werhane and R. Edward Freeman (Eds.), The Encyclopedic Dictionary of Business Ethics, New York: Blackwell, 1997
4. William S. Laufer, Integrity, Diligence, and the Limits of good Corporate Citizenship,” American Business Law Journal, 1996, 34, 157-181
5. William S. Laufer, Corporate Culpability and the Limits of Law, Business Ethics Quarterly, 1996, 6, 311-324 (reprinted in Modelos de Autorresponsabilidad Penal Empresairal, ed. Carlos Gomez-Jara Diez)
6. James Finkenauer and William S. Laufer, The Second Generation of American Criminal Justice, Journal of Research in Crime and Delinquency, 1996, 33, 5-1
7. William S. Laufer and D. Darnell Corporate Compliance and the Small Firm. United States Sentencing Commission (Washington, D.C.), 1996
8. William S. Laufer and Iwao Taka, Japan, Regulatory Compliance, and the Wisdom of Extraterritorial Social Controls, Hastings International and Comparative Law Review, 1995, 18, 487-530
9. William S. Laufer, The Jurisprudence of the Insanity Defense, Journal of Legal Medicine, 1995, 16, 453-459
10. William S. Laufer and Donald Darnell, Corporate Compliance and the Small Firm, White Collar Crime Reporter, 1995, 9, 1-9
11. William S. Laufer, *A Study of Small Business Compliance Practices,* in Proceedings of the Second Symposium on Crime and Punishment in the United States (Washington, D.C.: United States Sentencing Commission, 1995)
12. William S. Laufer The Rhetoric of Innocence Washington Law Review, 1995, 70, 329- 421(Cited by the Supreme Court of New Jersey in State v. Simon, 161 N.J. 416; 737 A.2d 1 (1999); Kazadi v. State, --- A.3d ---- (2020) (cited and excerpted by the Maryland Court of Appeals); Ingram v. State, 50 A.3d 1127 (Md. 2012); the Supreme Court of North Carolina in State v.Gillard, December 13, 2024. Cited in petitions and briefs: Petition for Writ of Certiorari, Richards v. Connecticut, United States Supreme Court, August 31, 2021; Brief of Institute for Justice and the Cato Institute, In Support of Petitioners, The Supreme Court of the United States, Shannon Nelson and Louis Alonzo; Madden v. Colorado, No. 15-1256 (2017); Lovitt v. Commonwealth, Petition for Writ of Certiorari, United States; Supreme Court, October Term, 2000, May 14, 2001; Broussard v. Parish of Orleans, Appellants Brief, Case No., United States Circuit Court of Appeal for the Fifth Circuit, May 22, 2002); Watson v. State of Maryland, 2018 WL 9849608 (Md. App.) (Appellate Brief); Jones v. Clark County, Kentucky, Kentucky Supreme Court, October 13, 2020 (Appellant’s Brief); Ingram v. State of Maryland, Writ of Certiorari to the Court of Special Appeals of Maryland, April 9, 2012; Correia v. Fagan, Goldrick & Segadelli, P.C., and William A. Brown, Defendants/ Appellees. Supreme Judicial Court of Massachusetts, July 30, 2007; Correia v. Fagan, Goldrick and Segadell, P.C., Appellate Brief, Massachusetts Appeals Court, July 30, 2007, No. 07-P-712; Petitioner’s Brief, Tshibangu Kazadi, Petitioner, v. State of Maryland, Maryland Court of Appeals, July 10, 2019; Appellant Brief, Estate of Robert J. Pinder, Road Runner Oil Company and JJNP Ranches, v. Duchesne County Sheriff, State of Utah, Supreme Court of Utah, No. 20181026 - SC., June 19, 2019; cited in Comment on Pretrial Introductory Instructions, MPJI-Cr 1:00; Maryland Criminal Pattern Jury Instructions (2018); Brief of Appellee Francisco Pineda-Barrientos, Appellant, v. State of Maryland, Appellee. Appellate Court of Maryland, July 16, 2024
13. William S. Laufer, Corporate Bodies and Guilty Minds,” Emory Law Journal, 1994, 79, 649-732 (cited in Teamsters Local 445 Freight Division Pension Fund v. Dynex Capital, Inc and Merit Securities Corporation, United States District Court, Southern District of New York; Brief of Amici Curiae, States of Mississippi, New Jersey, New Mexico, Rhode Island, and Iowa Public Employees’ Retirement System, Pennsylvania Public School Employees’ Retirement System and Pennsylvania State Employees’ Retirement System, in Support of Plaintiff-Appellee NO. 05-CV-1897 (HB); excerpted in Israel, Podgor, & Borman, White Collar Crime: Law and Practice, 71-72, 1996)
14. William S. Laufer, Culpability and the Sentencing of Corporations, Nebraska Law Review, 1992, 71, 1049-1094 (reprinted in M. McShane & F. P. Williams, The American Court System. Hamden, CT: Garland Press, 1997) (Reply Brief, Griego v. Colorado, Certiorari to the Colorado Court of Appeals, Case No. 97 CA 1351, No. 99SC228 (2000)).
15. William S. Laufer and Alison Cohen, Corporate Crime and Corporate Sanctions in Japan, Business in the Contemporary World, 1992, 12, 123-135, (reprinted in P. Sethi, P. Steidlmeier, & C. Falbe, Business and Society Interface: Issues, Analyses, and Responses. New York: Prentice-Hall, 1996)
16. Dorothy Kagehiro, Ralph Taylor and William S. Laufer, Social Perceptions of Third Party

Consent and the Reasonableness Test of Illinois v. Rodriguez,” Journal of Research in Crime and Delinquency, 1992, 29, 217-228

1. Steven D. Walt and William S. Laufer, Corporate Criminal Liability and the Comparative Mix of Sanctions, in K. Schlegel & D. Weisburd (Eds.) White Collar Crime Reconsidered. Boston: Northeastern University Press, 1992
2. Steven D. Walt and William S. Laufer, The Law and Psychology of Precedent, in D. Kagehiro & W. S. Laufer (Eds.) Handbook of Psychology and Law, New York: Springer-Verlag, 1992
3. Dorothy Kagehiro and William S. Laufer, Preface, Handbook of Psychology and Law. New York: Springer-Verlag, 1992
4. William S. Laufer and Steven D. Walt, Why Personhood Doesn’t Matter: Corporate Criminal Liability and Sanctions, American Journal of Criminal Law, 1991, 18, 263-287, (Cited by the Supreme Court of Wisconsin in State v. Knutson, 196 Wis. 2d 86; 537 N.W.2d 420, 1995)
5. Dorothy K. Kagehiro, Ralph B. Taylor, William S. Laufer and Alan T. Harland “Hindsight Bias and Third-Party Consenters to Warrantless Police Searches,” Law and Human Behavior, 1991, 15, 305-314
6. William S. Laufer and Dorothy Kagehiro, Illinois v. Rodriguez and the Social Psychology of Third Party Consent,” Criminal Law Bulletin, 1991, 27, 42-50
7. William S. Laufer and Dorothy Kagehiro, The Assumption of Risk Doctrine and Third-Party Consent Searches, Criminal Law Bulletin, 1990, 26, 196-209
8. William S. Laufer and Freda Adler, The Challenges of Advances in Criminological Theory,” Advances in Criminological Theory, 1 (1988)
9. Freda Adler and William S. Laufer, The Industrial Community as a Social Control Agent, in U.S.S.R. – U.S.A. Criminological and Criminal Law Problems of Struggle Against City Crime, (Moscow: Academy of Sciences, 1987)
10. William S. Laufer, D. K. Skoog and Arnold Anderson, Personality and Treatment Effectiveness in Anorexia Nervosa, Journal of Clinical Psychology, 1984, 40, 955-960
11. William S. Laufer, D. K. Skoog and James M. Day, Personality and Criminality: A Review of the California Psychological Inventory, Journal of Clinical Psychology, 1982, 38, 562-573
12. William S. Laufer and E. A. Laufer, From Geriatric Resident to Language Professor: A New Program Using the Talents of the Elderly in a Skilled Nursing Facility,” The Gerontologist, 34, 545-548, 1982
13. Robert Reiber, William S. Laufer and Dagna Skoog, Introduction, Sleep and Its Derangements, New York: Da Capo Press, 1982
14. William S. Laufer, John A. Johnson and Robert Hogan, Ego Control and Criminal Behavior, Journal of Personality and Social Psychology, 1981, 41, 179-184
15. William S. Laufer, Edith A. Laufer and Leopold S. Laufer, Purpose in Life and Occupational Interest in a Gerontological Sheltered Workshop, Journal of Clinical Psychology, 1981, 37, 766-769
16. William S. Laufer, Vocational Interests of Homeless, Unemployed Men,” Journal of Vocational Behavior, 1981, 18, 196-201.
17. Stephen D. Gottfredson, Kathy Young and William S. Laufer, Additivity and Interactions in Offense Seriousness Scales, Journal of Research in Crime and Delinquency, 1980, 17, 26-41
18. William S. Laufer, Vocational Interests of Criminal Offenders: A Typological and Demographic Investigation, Psychological Reports, 1980, 46, 315-324

# Books

1. William S. Laufer, Critical Corporate Criminal Law Theory (book), work in progress
2. Miranda Ann Galvin and William S. Laufer (eds.) Corporate Crime: The Corporation as a Victim and Offender, Advances in Criminological Theory, Volume 27 (Routlede, 2025)
3. William S. Laufer Corporate Bodies and Guilty Minds: The Failure of Corporate Criminal Liability. Chicago, Ill.: University of Chicago Press, 2006, 2008, 288 pages (cited in Brief of the Center for Political Accountability and the Carol and Lawrence Zicklin Center for Business Ethics Research as Amici Curiae in Support of Appellee, Citizens United v. Federal Election Commission, No. 08-205. On Appeal from the United States District Court for The District of Columbia to the United States Supreme Court (2009); cited in ABA Standards for Criminal Justice 26-2.1, Prosecutorial Investigations, Standards for Specific Investigative Functions of the Prosecutor, American Bar Association (2014); Reviewed, Recent Publications, 120 Harv. L. Rev. 1120 (2007)) (book translated into Spanish and Portuguese, in press)
4. Freda Adler, Gerhard O.W. Mueller, and William S. Laufer, Criminology (Eleventh Edition) New York: McGraw Hill (2023/2024); Freda Adler, Gerhard O. W. Mueller, and William S. Laufer, Criminology (Tenth Edition) New York: McGraw Hill (2021); Criminology (Ninth Edition) New York: McGraw-Hill (2017); Freda Adler, Gerhard O. W. Mueller, and William S. Laufer, Criminology (Eighth Edition) New York: McGraw-Hill, 2013; Freda Adler, Gerhard O. W. Mueller and William S. Laufer Criminology (Fourth Edition). New York: McGraw-Hill, 2000, (Translated into Hungarian as Kriminology), 2002, 683 pages; Freda Adler, Gerhard O. W. Mueller and William S. Laufer Criminology (Third Edition). New York: McGraw-Hill, 1998, 544 pages; Freda Adler, Gerhard O. W. Mueller and William S. Laufer Criminology (Second Edition). New York: McGraw- Hill, 1995, 566 pages; Freda Adler, Gerhard O. W. Mueller and William S. Laufer Criminology. New York: McGraw-Hill, 1991, Translation (Arabic): Zeid Muhamed, Arab Securities Studies Center, Ryad, Saudi Arabia, Volumes I-III, 535 pages
5. Freda Adler, Gerhard O. W. Mueller and William S. Laufer Criminology and the Criminal Justice System (Seventh Edition) New York: McGraw- Hill, 2009; Freda Adler, Gerhard O. W. Mueller and William S. Laufer Criminology and the Criminal Justice System (Sixth Edition) New York: McGraw- Hill, 2006, 559 pages; Freda Adler, Gerhard O. W. Mueller and William S. Laufer Criminology and the Criminal Justice System (Fifth Edition). New York: McGraw- Hill, 2004, 559 pages; Freda Adler, Gerhard O. W. Mueller and William S. Laufer Criminology and the Criminal Justice System (Fourth Edition). New York: McGraw- Hill, 2000, 683 pages
6. Freda Adler, Gerhard O. W. Mueller and William S. Laufer Criminology and the Criminal Justice System in the United States of America (First Edition). Translated and reprinted: Georgia (Russia): Democracy Commission, United States Embassy, 2003, 422 pages
7. Freda Adler, Gerhard O. W. Mueller and William S. Laufer Criminal Justice: An Introduction (Fifth Edition). New York: McGraw-Hill, 2008; Freda Adler, Gerhard O. W. Mueller and William S. Laufer Criminal Justice: An Introduction (Fourth Edition). New York: McGraw-Hill, 2006, 466 pages; Freda Adler, Gerhard O. W. Mueller and William S. Laufer Criminal Justice: An Introduction (Third Edition). New York: McGraw-Hill, 2003, 535 pages; Freda Adler, Gerhard O. W. Mueller and William S. Laufer Criminal Justice: An Introduction (Second Edition). New York: McGraw-Hill, 2000, 498 pages.
8. Freda Adler, Gerhard O. W. Mueller and William S. Laufer Criminal Justice. (First Edition). New York: McGraw-Hill, 1994, 600 pages (Graduate Level Text)
9. Freda Adler and William S. Laufer (eds.) The Criminology of Criminal Law (Volume 8, Advances in Criminological Theory). New Brunswick: Transaction, 1999, 535 pages
10. Freda Adler, Gerhard O. W. Mueller and William S. Laufer Criminal Justice: The Core. New York: McGraw-Hill, 1996, 405 pages
11. Freda Adler, Gerhard O. W. Mueller, William S. Laufer, and Jana Grekul, Criminology (First Edition) Toronto: McGraw-Hill Ryerson Higher Education, 2008; Freda Adler, Gerhard O. W. Mueller, William S. Laufer, and Jana Grekul, Criminology (First Edition) Toronto: McGraw-Hill Ryerson Higher Education, 2012 (Second Edition).
12. Freda Adler and William S. Laufer (eds.) The Legacy of Anomie (Volume Six: Advances in Criminological Theory). New Brunswick: Transaction, 1995, 439 pages
13. Freda Adler and William S. Laufer (eds.) New Directions in Criminological Theory (Volume Four: Advances in Criminological Theory). New Brunswick: Transaction, 1993, 406 pages
14. Dorothy K. Kagehiro and William S. Laufer (eds.) Handbook of Psychology and Law. New York: Springer-Verlag, 1992, 628 pages
15. Freda Adler and William S. Laufer (eds.) Advances in Criminological Theory: Volume

Two. New Brunswick, NJ: Transaction, 1990, 159 pages

1. Freda Adler and William S. Laufer (eds.) Advances in Criminological Theory: Volume

One. New Brunswick, NJ: Transaction, 1988, 181 pages

1. James M. Day and William S. Laufer (eds.) Crime, Values, and Religion. Norwood,

NJ: Ablex, 1987, 280 pages

1. William S. Laufer and James M. Day (eds.) Personality Theory, Moral Development, and

Criminal Behavior. Lexington, MA: Lexington Books, 1983, 500 pages

# Selected Presentations

1. *The Challenges of “Selling Business Ethics” in the United States* (keynote address)

UNAM, Mexico City, Mexico, November 28, 2024

1. *Defending Critical Corporate Justice* (invited address)

Law Faculty, University of Coimbra, Portugal, June, 2024

1. *Policing the Amazon: Questions for s Shared Understanding*

Ameripol. Bogota, Columbia, May 22, 2024 (with Eduardo Saad Diniz)

1. *The State and Responsibility for Corporate Criminal Justice*

Georgetown Law School, October 23, 2021 (with Susana Aires de Sousa)

1. *Corporate Criminal Law, Abolitionist Musings, and the Imperfect State*

Faculty Workshop, Goethe-Universität Frankfurt am Main, February 23, 2021

(with Susana Aires de Sousa)

1. *Telling a Different Story About Corporate Criminal Justice*

Escuela Libre de Derecho, Mexico City, Mexico, November 17, 2020

1. *Corporate Political Accountability and Model Codes*

Moderator, Wharton School, October, 2019

1. *Corporate Social Responsibility to Corporate Compliance. Corporations and Human Rights*

United Nations Congress, Madrid, Spain, June 13, 2019 (with Matthew Caulfield)

1. *Corporate Moral Agency*

Compliance.net, Villanova Law School, June 3, 2019 (with Matthew Caulfield)

1. *Corporate Political Accountability*

NYU Stern, February 28, 2019

1. *Compliance Pluralism and Paternalism*

Comparative Corporate Criminal Law, Zicklin Center, University of Pennsylvania, February 15, 2019

1. *Does Corporate Moral Agency Matter for Applied Business Ethics?*

The Ethics of Corporate Moral Agency, Georgetown University, November 16, 2018 (with Matthew Caulfield)

1. *Evaluation Science and Evidence-based Compliance: Testing and Measuring*

Petrobras University, Petrobras International Compliance and Ethics Workshop, August 13, 2018

1. *Convergence in Compliance Technology*

Petrobras University, Petrobras International Compliance and Ethics Workshop, August 14, 2018

1. *Corporate Criminal Law in an Era of Convergence and Disruption: Invited Address*

Fifth AIDP Symposium, Max Planck Institute, Freiburg, Germany, June 22, 2018

1. *The Role of Emotions in Ethical Decision Making: A Behavioral Ethics Perspective*

Society for Business Ethics, Annual Conference, Atlanta, GA, August 4, 2017

1. *Independent Integrity Monitoring for the New Mexico City International Airport*

Mexico City, February, 2017

1. *Global Challenges on Governance, Risk and Compliance*

GOVERNANÇA, RISCO E COMPLIANCE, Strategic Workshop, University of Sao Paulo, April 14, 2016

1. *Corporate Governance and Accountability: Voices from Europe and Latin America*

The Human Costs of Corruption, Wharton, Philadelphia October 22, 2016

1. *Making Corporate Political Transparency Effective*

RAND Center for Corporate Ethics and Governance, February 6, 2016

1. *Structural Brain Imaging Correlates of Crime*

American Society of Criminology, New Orleans, LA, November 18, 2016 (with Raine, Ying, Lang, Schug)

1. *Ethics and Good Governance in Mexican Local Governments*

Moderator, World Bank, Institutional Integrity Vice Presidency, June 7, 2016

1. *The Influence of Psychopathic Traits, Gender, and Biological Evidence on Juror*

*Decision-Making* Moderator, American Society of Criminology, New Orleans,

LA, November 18, 2016

1. *Corporate Governance and Accountability What Does Science Offer Corporate*

*Criminal Justice?* National Academy of Sciences Washington, DC June 3, 2015

1. *The Eternal Criminal Record: Author Meets Critics*

American Society of Criminology, Washington, DC, November, 2015 (with Freda Adler)

1. *Causes of Corporate Crime*

Introduction: Conference on Corporate Crime and Financial Misdealing, New York University School of Law, April 17–18, 2015

1. *The Future of the Securities and Exchange Commission in a Changing World*

The Center for Strategic and International Studies, May 1, 2015

1. *Compliance and Principles: Some Optimistic Comments from a Perennial Pessimist*

Ludwig Maximilian University (LMU), Munich, Germany, September 17, 2015

1. *Compliance Databank*

Deloitte Legal, Munich, Germany, September 16, 2015

1. *Building a Firm’s Self-Regulatory Capacity: With Knowledge*

Deloitte Legal, Munich, Germany, October 1, 2015 (With A. Schemmel and

Manuel Espinoza)

1. *Effects of Corruption on Emerging and Developing Countries: Whose fight is it?*

*American Society of International Law, International Economic Law Interest Group* University of Denver School of Law, November 13, 2014 (with D. Pektoksi and S. Dimitriev)

1. *The Commitment of International Organizations to Institutional Integrity*

Roxin Alliance at the Wharton School, July 18, 2014

26. *Business, Money, and Politics*

Society for Business Ethics Annual Conference, Philadelphia, PA, August 2, 2014

*27. SMEs and Global Integrity*

World Bank, INT Vice Presidency, Washington, D.C., July 25, 2014

*28. Introduction to Colin Mayer (Why the Corporation is Failing Us) and Eric Orts*

*(Business Persons)* Inn at Penn, Philadelphia, April 14, 2014

*29. The Field of White Collar and Corporate Crime: Irrelevant? Fractured? Marginalized?*

American Society of Criminology, San Francisco, CA, November 21, 2014

*30. Biosocial Criminology: Research, Theory, and Use in the Criminal Justice System*

Moderator: American Society of Criminology, San Francisco, CA, November

19, 2014

*31. Deconstructing Criminal Compliance*

Invited Lecture: Regulating Mismanagement in the Corporate field—the Role of

Criminal Law and the Financial Crisis; IVth AIDP Symposium for Young

Penalists location: Ordem dos Advogados do Brasil Seccional São Paulo (Praça

da Sé) August 29, 2014

*32. Decision-Making and Oversight in Corporations: Consequences for Criminal Liability*

Moderator: Regulating Mismanagement in the Corporate field—the Role of

Criminal Law and the Financial Crisis; IVth AIDP Symposium for Young

Penalists location: Ordem dos A dvogados do Brasil Seccional São Paulo (Praça

da Sé) August 29, 2014

*33. Rethinking the Corporate Criminal*

Grand Rounds, Forensic Psychiatry Fellowship, University of Pennsylvania, October 6, 2014

*34. Normative Business Ethics in a Global Economy: New Directions in Donaldsonian*

*Themes:* Opening Remarks*,* October 17 – 18, 2014

*35. Implications and New Themes: Business Ethics*

Moderator: The Moral Responsibility of Firms: For or Against? INSEAD, Fontainebleau campus December 12-13, 2013

*36. Promoting Ethics in Organizations: Comprehensive Ethics Training and Indicators of*

*Ethical Organizational Culture* Danielle Warren and Joseph Gaspar, Annual

Meeting of the Society of Business Ethics Orlando, Florida, August 2013

*37. Principles for Responsible Management*

PRME United Nations, Berlin, Germany, July 2, 2013 (with D. Petkoski)

*38. Too Big to Prosecute?*

Annual Meeting of the Society of Business Ethics, Orlando, Florida, August 2013

*39. Where is the moral indignation over corporate crime?*

Corporate Criminal Liability, AIDP/LMU Symposium, Munich, June 14, 2013

*40. Politica Criminal Internacional en la Era de la Declaracion del Milenio de las*

*Naciones Unidas: Text and Rhetoric in Corporate Criminal Liability*

United Nations Social Defense Symposium, Mexico City, November 23, 2012

*41. Promoting Ethics in Organizations: A Longitudinal Study of Formal Ethics Training.*

2012 Academy of Management Conference, Boston, Massachusetts Warren, D., Gaspar, J., & Laufer, W. August, 2012 (paper in Academy of Management Proceedings 2012, 1, 17330)

*42. Theft of a Nation and Who Are the Criminals? Author Meets Critics*

American Society of Criminology, Chicago, IL, November 15, 2012

*43. Business Ethics in the United States,* Join Vienna Institute, Vienna,

Austria, June, 2011

*44.* *Importance of Corporate Leadership and Best Practices for Political*

*Transparency and Accountability: Why Companies are Increasingly Committed to Political Disclosure*

Moderator: The Wharton School, CPA Zicklin Index, October 28, 2011

*45. Listening to Complex Networks and Building Capacity: New Strategies*

*for Global Law Enforcement,* FBI Headquarters, December,

2011

*46. Fraud and Corruption in the Carbon Trading Market: An Introduction*

World Bank Institute, Washington, D.C., on April 30, 2010

*47. Are corruption indices a self-fulfilling prophecy? A social labeling perspective of corruption.*

Warren, D. and Laufer, W., The Society for Business Ethics Annual Meeting, Chicago, IL, August, 2009.

*48. Inclusive Agribusiness: Fighting Poverty, Hunger, and Malnutrition*, The World Bank,

Washington DC, 2009

*49. The Pennsylvania School of Criminology*

American Society of Criminology, Philadelphia, PA, November 5, 2009

*50. Money, Politics, and Corporate Risk*

Chair, Beyond Compliance: The Corporation in Society, Baruch College, New York, February 28, 2008

*51. Why has this protocol taken 5 years?  Lessons learned from the Campbell Corporate Crime*

*Deterrence Project*

Sally S. Simpson, William S. Laufer, N. Craig Smith, Natalie Schell, Rachael Wyckoff, Kerry Richmond, and Megan Bears, American Society of Criminology (2008)

*52. A Critical Introduction to Base of the Pyramid Business Strategies." Inclusive and Sustainable*

*Business: Creating Markets with the Poor. World Bank Executive Development Program.* (2008) (with Ryan Burg)

*53. La guerre et le pouvoir des corporations (War Games: How Corporations Exploit Vulnerable*

*States in Times of Crisis),* International Society of Social Defense, United Nations,

Toledo, Spain, (2007) (with Ryan Burg)

*54. Why Punish Corporations?*

Corporate Criminality: Legal, Ethical, and Managerial Implications, Georgetown University School of Law, March 15, 2007

*55. Research Workshop on Private Sector Corruption: Research Trends, Empirical Methods, and*

*Measurement Challenges,* World Bank Institute, Washington, D.C., June 18, 2007

*56. Making Corporate Amends*

TransAtlantic Business Ethics Conference, Philadelphia, PA, October 7, 2006

*57. Businesses Reaching the Poor: The Asian Experience in Expanding Market*

*Opportunities* International Monetary Fund/World Bank Annual Board of

Governors Meeting, Singapore, September 17, 2006

*58. Private-Public Sector Anti-Corruption Initiatives*

World Bank MDG Meeting, Washington, D.C., April 11, 2006

*59. Partners in Development*

Preliminary Meeting*,* UNDP Headquarters, February 1, 2006

*60. Corporate Bodies and Guilty Minds*

Criminology Alumni Day, University of Pennsylvania, April 1, 2006

*61. Corporate Fraud and Federalism*

Cardozo Law School, New York, March 27, 2006

*62. Research on Corporate Corruption and Its Control: The State of the Art*

Moderator, Anti-Corruption Research and Multilateral Banks, Wharton School, Philadelphia, March 4, 2006; Introduction, President Ernesto Zedillo, Mexico, March 3, 2006

*63. Corporations and Corporate Personhood*

Engaging Our Endowments: Second Annual Conference, The Wharton School, University of Pennsylvania, Philadelphia, PA October 22, 2005

*64. Research Institutions, Assessing the Effects of Anti-Money Laundering Controls: A Workshop*

RAND, Washington, D.C., September 16, 2005

*65. Business approaches towards the Millennium Development Goals*

Business and Millennium Development Goals, 10th International Business Forum, September 12, 2005, New York University, New York, NY

*66. Chasing Dirty Money*

14th World Congress on Criminology*,* Philadelphia, PA, August 11, 2005

*67. A Skeptic’s and Pessimist’s Account of Corporate Governance Reforms*

14th World Congress of Criminology, Philadelphia, PA, August 9, 2005

*68. Corporate Social Responsibility, Integrity, and Crime: A Round Table Discussion*

Moderator, 14th World Congress on Criminology*, Philadelphia, PA, August* 8, 2005

*69. Corporate Crime: The next 25 years*

American Society of Criminology, Toronto, November 15, 2005

*70. Ethical Challenges and the New Role of Business in Development*

Moderator, İstanbul Bilgi University, Business Department and Wharton School, Philadelphia, PA December 6, 2004

*71. Contractarian Approaches to Business Ethics: The Evolution of Integrative Social Contracts*

*Theory* The Wharton School, Philadelphia, PA, November 12-13, 2004

*72. Campbell Collaboration: Searching for an Empirical Foundation for Governance*

Voluntary Codes of Conduct for Multinational Corporations: Promises and Challenges, New York, NY, May 14, 2004

*73. The Subtext and Subtleties of Corporate Speech*

International Conference on Voluntary Codes of Conduct for Multinational Corporations: Promises and Challenges, New York, NY, May 13, 2004

*74. The Opacity Index*

Making the Business Case for Governance Reform, The Center for Strategic International Studies, Hills Program on Governance & The Yale International Institute on Corporate Governance***,*** Washington, D.C., April 21, 2004

*75. Can Corporations Commit Crimes? A Roundtable Corporate Governance and*

*Corporate Deviance: Looking for an Empirical Foundation,*

World Bank Institute, World Bank, Washington, D.C., February 9, 2004

*76. Ethics and Policy*

Moderator, Wharton Latin American Conference, Philadelphia, PA November 14, 2003

*77. Disseminating Business Knowledge: How to Measure Impact?*

Conference on Measuring the Impact of Business Knowledge Dissemination, McKinsey, New York, NY, October 24, 2003

*78. Corporate Responsibility and Sustainable Competitiveness in Russia*

World Bank Institute, Washington, D.C., December 6, 2002

*79. Corporate Crime and the Corporate Criminal Law*

Senior’s Associates Luncheon, University of Pennsylvania, November 22, 2002

*80. Models of Corporate Fault*

Poster Session: American Society of Criminology, Chicago, Illinois, November 12, 2002

*81. The Role of Academics: Analysis, Research, and Distribution of the Global Compact*

United Nations, Office of the Global Compact, New York, NY, June 11, 2002

*82. Brainstorming in Working Groups: Learning Process: Analysis, Research, and Distribution*

United Nations, Office of the Global Compact, New York, NY, June 11, 2002 (with David Levin)

*83. Dirty Money: Nourishing Poverty and Terrorism: What Next on the Agenda?*

Brookings Institution, Washington, D.C., June 5, 2002

*84. Corporate Criminal Law, Cooperative Regulation, and the Parting of Paths*

Social Defence and Criminal Law for the Protection of Coming Generations, in View of the New Risks, Ministry of Justice of Portugal, *XIVth International Congress on Social Defence,* Lisbon Portugal, May 16, 2002

*85. The Death of the Corporate Criminal Law?*

New York University School of Law, Criminal Law Faculty, February 27, 2002

*86. Improving the Public’s Understanding of Crime Prevention and Causation*

Dedication of the Jerry Lee Center of Criminology, University of Pennsylvania, October 15, 2001

*87. Corporate Prosecution, Cooperation, and the Trading of Favors*

Legal Issues and Sociolegal Consequences of the Federal Sentencing Guidelines, University of Iowa College of Law, June 1, 2001

*88. Introduction to Ethics Research at Penn*

Ethics Across Disciplines: A Meeting of Ethics Scholars at Penn, Wharton, May 1, 2001

*89. Believers and Non-Believers*

Social Screening of Investments, The Wharton School, Impact Conference, February 3, 2000

*90. eCommerce Threats of Disintermediation in Industrial Distribution*

The Bossard Group, York, Maine, September 6, 2000

*91. Ethics and the New Economy*

Junior Caux Round Table, Washington, D.C., April 28, 2000

*92. Building One Company, Bossard US*

Corporate Board Meeting, Bossard Holding AG, Hampton, NH, July, 2000

*93. Risk Shifting, Gaming, and Organizational Restrictions on Corruption*

Responding to the Challenges of Corruption, International Scientific and Professional

Advisory Council of the United Nations Crime Prevention and Criminal Justice Programme, City Hall, Milan, Italy, November 19, 1999

*94. The Salbu-Nichols Debate on Extraterritorial Applications of Corruption Law*

The Caux Round Table, Caux, Switzerland, July 22, 1999

*95. Forum on Deterrence of Environmental Violations and Environmental Crime*

Participant, National Institute of Justice, Washington, D.C., July 12, 1999

*96. Measuring Corporate Compliance Effectiveness*

Zicklin Center Conference, Wharton, May 26, 1999

*97, Measuring Success and Failure*

ERC Fellows Program, Chantilly, Virginia, May 7, 1998

*98. Ethics Programs for Small and Medium-Sized Companies*

The 1997 Business Ethics Conference: Ethics: Maintaining the Commitment (The Conference Board), May, 1997

*99. Corporate Compliance and the Small Firm*

International Association for Business and Society, June 1995

*100. A Study of Small Business Compliance Practices*

Corporate Crime in America: Strengthening the “Good Citizen” Corporation, United States Sentencing Commission Symposium on Organizational Compliance Practices, Washington, D.C., September, 1995

*101. Ethical Reflections*

Society for Business Ethics, Vancouver, Canada, August, 1995, Session Chair

*102. Business Ethics and the Small Firm: Normative Influences and Constraints*

International Association for Business and Society, Vienna, Austria, June, 1995, with D. Robertson

*103. Firm Size, Ownership Structure, and Corporate Sanctions*

Academy of Criminal Justice Science, Boston, MA, March, 1995, with Adam Sundor

*104. Corporate Ethics and Social Control*

Sixth Annual International Conference of the Society for the Advancement of Socio- economics, Jouy en Josas, France, July, 1994, with D. Robertson

*105. Japan and Social Control: New Perspectives on Trade with a Mediator-Centered Society*

International Association for Business and Society (IABS) (paper in IABS Proceedings, 4, 285-297), San Diego, California, 1993, with Iwao Taka

*106. Ethics, Crime and Corporate Compliance Revisited: Recent Trends in Organizational*

*Transformation under the New Federal Sentencing Guidelines*

Discussant, Fifth Annual International Conference of the Society for the Advancement of Socio-Economics, New York, NY, March, 1993

*107. Social Contracts and Social Control: Insights into City-Business Relationships*, Joint meeting of

European Business Ethics Network and Association des Cadres dirigeants de l'Industrie pour le Progres Economique et Social (ACADI), on Shaping Cities: The Role and Responsibility of Business, CNIT-La Defense, with Thomas W. Dunfee, Paris, October 1992.

*108. Substantive Corporate Criminal Law and the Sanctioning of Corporations*

American Society of Criminology, 43rd Annual Meeting, San Francisco, California, November, 1991, with Kip Schlegel

*109. Corporate Crime: New Dimensions and New Research*

Chair, American Society of Criminology, 43rd Annual Meeting, San Francisco, California, November, 1991

*110. Opportunities in Legal Settings*

In D. K. Kagehiro & P.A. Parmelee (Chairs), Employment opportunities for Population and Environment Psychologists, Division of Population and Environmental Psychology, Annual Conference, American Psychological Association, Boston, Massachusetts, August, 1990

*111. Corporations, Persons, and Corporate Criminal Liability*

Edwin Sutherland, Conference on White-Collar Crime: Fifty Years of Research and Beyond, Indiana University, Bloomington, Indiana (May, 1990), with S. Walt and K. Schlegel

*112.* *Mid-Atlantic Regional Business Law Association*

Moderator, Concurrent Paper Session, Philadelphia, PA, March, 1990

*113. Assessing the Influence of Criminal Justice Research on Law* In A. T. Harland (Chair),

Legal Issues in Criminal Justice Scholarship, panel session, Conference on the Idea of

Criminal Justice: The Development and Future of an Academic Field, Hindelang

Criminal Justice Research Center, Rockefeller College, State University of New York,

Albany, 1989, with Alan Harland and Dorothy Kagehiro

*114. Perceptions of Privacy: Factors Invalidating Third-Party Consent Searches*

In J. Acker (Chair), Popular Versus Legal Conceptions of Crime and Justice, panel session, American Society of Criminology, 41st Annual Meeting, Reno, Nevada (November, 1989), with Dorothy Kagehiro, Alan Harland, and Ralph Taylor

*115. Personality, Criminality, and the Fine Art of Faking*

American Society of Criminology, 41st Annual Meeting, Reno, Nevada (November, 1989), with John Lilly

*116. Sensational Criminals*

Chair, American Society of Criminology, 39th Annual Meeting, Montreal, Canada, November, 1987

*117. Can the Industrial Community Serve as a Social Control Agent?*

Paper presented at the USSR-US Joint Program on Crime with Freda Alder