

# Philip M. Nichols

*Professor of Legal Studies and Business Ethics*

600 Jon Huntsman Hall  
3730 Walnut Street  
Philadelphia, Pennsylvania  
USA 19104

telephone: 215-898-9369  
fax: 215-573-2006  
e-mail:  
nicholsp@wharton.upenn.edu

## EDUCATION

LL.M., foreign and international law, Duke University School of Law, 1988

J.D., Duke University School of Law, 1988

editor-in-chief, *Duke Law Journal*

A.B., anthropology, Harvard University, 1982

## BOOKS

Public Sector Corruption and the Private Business Firm (forthcoming, Oxford University Press)

Corruption, Business Law, and Business Ethics (edited volume, forthcoming, University of Chicago Press)

Thinking About Bribery: Neuroscience, Moral Cognition, and Psychology of Bribery (with Diana Robertson, co-edited volume, forthcoming 2016, Cambridge University Press)

## PUBLICATIONS

“The Neomercantilist Fallacy and the Contextual Reality of the Foreign Corrupt Practices Act,” *Harvard Journal on Legislation* 53(1):203-246 (2016)

“The Good Bribe,” *UC Davis Law Review* 49(2):647-683 (2015)

“Are Facilitating Payments Legal?,” *Virginia Journal of International Law* 54(1):127-155 (2013)

“The Business Case for Complying With Bribery Laws,” *American Business Law Journal* 49(2):325-368 (2012)

“United States v. Lazarenko: Filling in Gaps in Support and Regulation of Transnational Relationships,” *University of Chicago Legal Forum* 2012:41-89 (2012)

“The Psychic Costs of Violating Corruption Laws,” *Vanderbilt Journal of Transnational Law* 45(1):145-210 (2012)

“The Perverse Effect of Campaign Contribution Limits: Reducing the Allowable Amounts Increases the Likelihood of Corruption in the Federal Legislature,” *American Business Law Journal* 48(1):77-118 (2011) awarded Hoerber Award as the best article published in volume 48

“Who Allows Facilitating Payments?” *Agora Without Frontiers* [Greece] 14(4):303-323 (2009)

“Multiple Communities and Controlling Corruption,” *Journal of Business Ethics* 88(4):805-813 (2009)

“Using Sociological Theories of Isomorphism to Evaluate the Possibility of Regime Change Through Trade Sanction,” *University of Pennsylvania Journal of International Law* 30(3):753-788 (2009)

“Corruption as an Assurance Problem,” *American University International Law Review* 19(6):1307-1349 (2004)

“Corruption as a Pan-Cultural Phenomenon: An Empirical Study in Countries at Opposite Ends of the Former Soviet Empire,” *Texas Journal of International Law* 39(2):215-256 (2004) (Philip M. Nichols, George J. Siedel & Matthew Kasdin)

“The Fit Between Changes to the International Corruption Regime and Indigenous Perceptions of Corruption in Kazakhstan,” *University of Pennsylvania Journal of International Economic Law* 22(4):863-973 (2001)

“Privatization Techniques for Central Asia,” *Central Asian Journal of Management, Economics and Social Research* 2(2):45-56 (2001)

“Two Snowflakes Are Alike: Assumptions Made in the Debate Over Standing Before World Trade Organization Dispute Settlement Boards,” *Fordham International Law Journal* 24(1/2):427-443 (2000)

“The Myth of Antibribery Laws as Transnational Intrusion,” *Cornell International Law Journal* 33(3):627-655 (2000)

“Electronic Uncertainty Within the International Trade Regime,” *American University International Law Review* 15(6):1379-1423 (2000)

“Increasing Global Security by Controlling Transnational Bribery,” *Michigan Journal of International Law* 20(3):451-476 (1999)

“Regulating Transnational Bribery in Times of Globalization and Fragmentation,” *Yale Journal of International Law* 24(1):257-303 (1999)

“A Legal Theory of Emerging Economies,” *Virginia Journal of International Law* 39(2):229-301 (1999)

“Forgotten Linkages — Historical Institutionalism and Sociological Institutionalism and Analysis of the World Trade Organization,” *University of Pennsylvania Journal of International Economic Law* 19(2):461-511 (1998)

“Creating a Market Along the Silk Road: A Comparison of Privatization Techniques in Central Asia,” *New York University Journal of International Law and Politics* 29(3):299-336 (1997)

“The Viability of Transplanted Law: Kazakhstani Reception of a Transplanted Foreign Investment Code,” *University of Pennsylvania Journal of International Economic Law* 18(4):1235-1279 (1997)

“Outlawing Transnational Bribery Through the World Trade Organization,” *Law and Policy in International Business* 28(2):305-381 (1997)

“Corruption in the World Trade Organization: Discerning the Limits of the World Trade Organization’s Authority,” *New York University Journal of International Law and Politics* 28(4):711-784 (1996)

“Trade Without Values,” *Northwestern University Law Review* 90(2):658-719 (1996)

“Realism, Liberalism, Values, and the World Trade Organization,” *University of Pennsylvania Journal of International Economic Law* 17(3):851-882 (1996); reprinted at *University of Pennsylvania Journal of International Economic Law* 25:725-754 (2004)

“GATT Doctrine,” *Virginia Journal of International Law* 36(2):379-466 (1996)

“Extension of Standing in World Trade Organization Disputes to Nongovernment Parties,” *University of Pennsylvania Journal of International Economic Law* 17(1):295-329 (1996); reprinted at *University of Pennsylvania Journal of International Economic Law* 25:669-704 (2004)

“Swapping Debt for Development: A Theoretical Application of Swaps to the Creation of Microenterprise Lending Institutions in Sub-Saharan Africa,” *New York University Journal of International Law and Politics* 27(1):43-102 (1994)

“Symmetry and Consistency and the Plaintiff’s Risk: Partial Settlement and the Right of Contribution in Federal Securities Actions,” *Delaware Journal of Corporate Law* 19(1):1-63 (1994)

“Redefining ‘Common Carrier’: The FCC’s Attempt at Deregulation by Redefinition,” *Duke Law Journal* 1987(3):501-520 (1987)

“A Student Defense of Student Edited Journals: In Response to Professor Roger Cramton,” *Duke Law Journal* 1987(6):1122-1137 (1987)

## **BOOK CHAPTERS**

“Sovereignty and Reform of the World Trade Organization,” in *Redefining Sovereignty in International Economic Law* 147-158 (Wenhua Shan editor, 2007) (Society of Legal Scholars: Hart)

“The Impact of the Failures of the Doha Round on Trade Regimes in Asia,” in *International Economic Law and China in Its Economic Transition* 71-100 (Huiping Chen editor, 2007) (Chinese Law Series: Hein)

“Dealing With an Eruption of Corruption,” in *Mastering Risk* 187-190 (James Pickford editor, 2001) (Prentice Hall)

“Control of Transnational Bribery Through the World Trade Organization,” in *Commentaries on Law and Public Policy: 1997 Yearbook* 263-297 (Robert W. McGee editor, 1998) (Dumont Institute for Public Policy Research)

“Comments on Chapter 5 [The Free Trade-Fair Trade Debate],” in *Economic Dimensions in International Law: Comparative and Empirical Perspectives* 235-247 (Jagdeep S. Bhandari & Alan O. Sykes editors, 1997) (Cambridge University Press)

## **EDUCATIONAL PUBLICATION**

Taking Debtors’ Duties Beyond Contract (with Robert L. Nutt) (Massachusetts Continuing Legal Education 1991)

## **WORKING PAPERS IN PROGRESS**

The Biggest Data of All: Preparing and Preventing Corruption in Algorithmic Healthcare

To Whom Does a Defense Business Owe a Duty When There is an Opportunity to Pay a Bribe

Civility as a Catalyst for Generalized Trust

## **CURRENT PROJECTS**

seminars on the relationship between good business conduct and economic development (currently cooperating with Center for Business Ethics and Corporate Governance; have presented several seminars in Russia and in Central and South America)

collective certification of anticorruption processes in Russia

## **TEACHING**

The Wharton School  
University of Pennsylvania

*Assistant Professor of Legal Studies* (1992 to 1998)

*Associate Professor of Legal Studies and Business Ethics* (1998 to present)

*Class of 1940 Bicentennial Reunion Term Chair* (2010 to present)

*Faculty Master of Stouffer College House* (1998 to present)

*Courses:* Business Ethics/Corporate Social Responsibility

Corruption

Emerging Economies

International Business Transactions

Introduction to Law and Legal Process

*Awards:* 2011 Hoeber Award (best article published in volume 48 of the *American Business Law Journal*)

2002 Ralph J. Bunche Award for Best International Law Paper at the Academy of Legal Studies in Business Annual Conference

2013 Undergraduate Teaching Award

2013 Huntsman Program Senior Teaching Award

2012 Undergraduate Teaching Award

2009 Rappaport Core Teaching Award

2006 Undergraduate Teaching Award

2004 Rappaport Core Teaching Award

2001 Undergraduate Teaching Award

2000 Lindback Award for Teaching

2000 Undergraduate Teaching Award

1999 Undergraduate Teaching Award

1998 Undergraduate Teaching Award

1997 Undergraduate Teaching Award

1996 David W. Hauck Award for Outstanding Undergraduate Teaching

1996 Undergraduate Teaching Award

1996 Intergreek Council Award for Outstanding Professor

1995 Undergraduate Teaching Award

1994 Undergraduate Teaching Award

National University of Mongolia, Faculty of International Law

Ulaan Baator, Mongolia

*Visiting Professor of International Law* (2001 to 2002)

*Courses:* International Economic Law

Comparative Law

The U.S. Legal System

Seminar Series for the Faculty

*Award:* Fulbright Fellow

Honorary Doctorate

Singapore Management University

*Visiting Professor* (June to July 2004)

*Research:* Corruption

Indian School of Business  
Hyderabad, India  
*Visiting Professor* (February to April 2007)  
*Course:* Business Ethics and Corporate Governance

Korea University  
Seoul, South Korea  
*Visiting Professor* (June to August 2008, June to August 2009)  
*Courses:* Introduction to US Law  
Comparative Law  
Appointed to the Advisory Committee for the International Program 2009

### **INVITED PAPER PRESENTATIONS**

“Preparing for and Preventing Corruption in Algorithmic Healthcare,” Academy of Legal Studies in Business, San Juan, Puerto Rico, August 7-11, 2016

“Preparing for and Preventing Corruption in Algorithmic Healthcare,” Canadian Academy of Legal Studies in Business, Calgary, Alberta, May 5-7, 2016

“Preparing for and Preventing Corruption in Algorithmic Healthcare,” Pacific Northwest Academy of Legal Studies in Business, Seattle, Washington, April 21-23, 2016

“Preparing for and Preventing Corruption in Algorithmic Healthcare,” Pacific South West Academy of Legal Studies, Palm Springs, California, February 12-13, 2016

“To Whom Does a Military Industry Firm Owe a Duty When there is an Opportunity to Pay a Bribe,” International Law Association, Brigham Young University, January 29, 2016

“Should Transnational Firms Adopt Creating Shared Value Strategies to Reduce Corruption,” CIBER Conference on Global Strategies and Emerging Markets, University of Miami, January 6-7, 2016

“Preparing for and Preventing Corruption in Algorithmic Healthcare,” South East Academy of Legal Studies in Business, Atlanta, Georgia, November 12-14, 2015

“Preparing for and Preventing Corruption in Algorithmic Healthcare,” Academy of Legal Studies in Business, Philadelphia, Pennsylvania, August 6-10, 2015

“Are Facilitating Payments Legal?,” Anti-Corruption Forum, Frankfurt, Germany, July 2, 2015

“The Neo-Mercantilist Fallacy and the Contextual Reality of the Foreign Corrupt Practices Act,” Canadian Academy of Legal Studies in Business, Toronto, Ontario, May 8-9, 2015

“The Biggest Data of All: Preparing for and Preventing Corruption in Algorithmic Healthcare,” Big Data Colloquium, University of Indiana, April 17-18, 2015

“To Whom Does a Military Industry Firm Owe a Duty When Presented With an Opportunity to Pay a Bribe,” Center for Ethics and the Rule of Law, Conference on Ethical Dilemmas in the Global Defense Industry, Philadelphia, Pennsylvania, April 16, 2015

“The Neo-Mercantilist Fallacy and the Contextual Reality of the Foreign Corrupt Practices Act,” North Atlantic Regional Business Law Association Annual Conference, University of Massachusetts Lowell, April 11, 2015

“The Good Bribe,” Corruption and Compliance: Promoting Integrity in a Global Economy, The University of California at Davis, January 23, 2015

“The Neo-Mercantilist Fallacy and the Contextual Reality of the Foreign Corrupt Practices Act,” Center for Business Ethics, Crime and Regulation, University of Maryland, September 12, 2014

“Hope in *Citizens United*,” Academy of Legal Studies in Business Annual Conference, Seattle, Washington, August 4-7, 2014

“Hope in *Citizens United*,” Pacific Northwest Academy of Legal Studies in Business Annual Conference, Vancouver, British Columbia, April 24-26, 2014

“Hope in *Citizens United*,” Western Academy of Legal Studies in Business Annual Conference, Monterey, California, March 27-29, 2014

“The Neo-Mercantilist Fallacy and the Contextual Reality of the Foreign Corrupt Practices Act,” University of Michigan Ross School of Business, Ann Arbor, Michigan, December 6, 2013

“Sovereign Anti-Corruption Law and a Globalized Business Environment,” Annual Conference of the Academy of Legal Studies in Business, Boston, Massachusetts, August 6-10, 2013

“Sovereign Anti-Corruption Law and a Globalized Business Environment,” Research for Country Advancement,” World Business Institute, Paris, France, July 4-5, 2013

“Corruption Ethics: Requirements Under International and Russian Law,” Business Ethics: History of Economic Thought, Moscow State University, Moscow, Russia, July 2, 2013

“Soft Law Alternatives to Government Coordinated Law as a Means of Controlling Corruption,” Business Ethics and Models of National Behavior, St. Petersburg State University of Economics, St. Petersburg, Russia, April 5, 2013

“Soft Law Alternatives to Government Coordinated Law as a Means of Controlling Corruption,” International Academic Conference on Economic and Social Development, Higher School of Economics, Moscow, Russia, April 2-5, 2013

“An International Norm for Corporate Criminal Liability for Corruption,” Annual Conference of the Academy of Legal Studies in Business, Kansas City, Missouri, August 8-11, 2012

“Collective Action to Combat Public Sector Corruption: Four Necessary Steps,” Global Business and International Management Conference, Portland, Oregon, August 2-4, 2012

“A Business Case for Complying with Bribery Laws,” International Conference on Economic and Social Development, Higher School of Economics, Moscow, Russia, April 4-5, 2012

“Is There a Place for Rational Choice Theory in Understanding Corruption?,” International Winelands Conference: Integrity and Governance, Stellenbosch University, South Africa, April 2-3, 2012

“A Business Case for Complying with Corruption Laws,” Globalization of Educational Space: Methodical Aspects, Yaroslavl, Russia, February 8-9, 2012

“The Rule of Law as a Transnational Norm,” American Society of International Law Inaugural Research Forum, Los Angeles, California, November 5, 2011

“The Prosecution of Two Ukrainian Prime Ministers,” University of Chicago Law Symposium: Combating Corruption, Chicago, Illinois, November 4, 2011

“Strategies for Controlling Corruption in the Middle East,” Legal Studies and Business Ethics Research Series, The Wharton School, September 22, 2011

“A Business Case for Complying with Bribery Laws,” Annual Conference of the Academy of Legal Studies in Business, New Orleans, Louisiana, August 11-13, 2011, *nominated for the Holmes-Cardozo Research Award*

“Codes of Conduct as a Substitute for Generalized Trust,” XII International Conference on the History of Thought and Business Management: Social Responsibility, Business and Ethics Management, Moscow State University, Moscow, Russia, June 30, 2011

“Codes of Conduct as a Substitute for Generalized Trust,” Conference on Business Ethics and the National Model of Conduct, St. Petersburg, Russia, June 15, 2011

“Corruption and Development,” Private Sector Integrity and Economic Development in Gulf Countries, Doha, Qatar, May 10-11, 2011

“The Problem of Business Ethics in Modern Society,” Institute of Finance and Economics, St. Petersburg, Russia, February 24, 2011

“The Problem of Business Ethics in Modern Society,” Russian National University of the Humanities, Moscow, Russia, February 21, 2011

“Trust (and Civility),” The Seminar, Moscow, Russia, February 18, 2011 [“The Seminar” is a gathering of some of the leading social scientists in Russia]

“The Problem of Business Ethics in Modern Society,” Moscow State University, Moscow, Russia, February 16, 2011

“Professionalism in Communication: Ethics and Trust,” International Interdisciplinary Conference, Moscow, Russia, February 15, 2011

“Civility as a Catalyst for Creating Generalized Trust,” Scientific Inquires in the Social Sciences, Russian Academy of Science, Moscow, Russia, November 15, 2010

“The Business Case Against Corruption,” Asian Pacific Economic Cooperation, Ministerial Meeting on Small and Medium-Sized Enterprises, Gifu, Japan, September 27, 2010

“Civility as a Catalyst for Generalized Trust,” University Lecture, Saint Petersburg State University of Economics and Finance, Saint Petersburg, Russia, April 13, 2010

“Civility as a Catalyst for Generalized Trust,” Russian State University for the Humanities, Moscow, Russia, April 9, 2010

“The Requirement of Civility in Professional Codes of Conduct as a Precursor to Higher Levels of Generalized Trust,” Higher School of Economics, Moscow, Russia, April 7, 2010

“Constraining or Perpetrating State Violence through International Rule of Law,” Fourth Annual Conference, Law, Evil and the State – Issues in State Power and Violence, Salzburg, Austria, March 12-14, 2010

“Why Codes Alone Do Not Create Trust in Government Action: The Critical Difference between Trustworthy and Trusted,” Second Global Conference on Ethics in Public Life, Salzburg, Austria, March 15-17, 2010

“Constraining Power: The Need for Social Mediation in the Rule of International Law,” Asian Society of International Law, Biennial Conference, University of Tokyo, Tokyo, Japan, August 1-2, 2009

“Who Allows Facilitating Payments,” Department of Legal Studies and Business Ethics Seminar Series, Wharton, September 25, 2008

“Who Allows Facilitating Payments,” Patterns of Corruption in the 21st Century, sponsored by CRIISEA Research Center, University of Picardie, Amiens, France and the Greek Institute of International Economic Relations, Athens, Greece, September 6-7, 2008

“Creating Generalized Trust through Transnational Codes,” Transnational Corporate Responsibility in the 21st Century, University of Oslo and George Washington University Law School, April 7-8, 2008, Washington, D.C., United States

“Hidden Costs of Regime Change through Trade Sanction,” Trade Sanction in a 21st Century Economy, University of Pennsylvania School of Law, February 29, 2008, Philadelphia, Pennsylvania, United States

“Hierarchical Sovereignty,” Huber Hurst Seminar, University of Florida, February 1-2, 2008, Gainesville, Florida, United States

“Hierarchical Sovereignty,” Faculty of Law, Xi'an Jiaotong University, November 22, 2007, Xi'an, Shaanxi, China

“Hierarchical Sovereignty,” Department of Legal Studies and Business Ethics Research Series, Wharton, October 2, 2007

“Codes of Conduct as a Substitute for Social Capital: Development at the Firm-Level of Societal-Level Institutions,” Globalization and the Good Corporation, International Center for Corporate Accountability, June 26-28, 2007, New York, New York

“Collective Business Action to Combat Corruption,” Inter-American Conference on Corporate Social Responsibility [Inter-American Development Bank], Salvador de Bahia, Brasil, December 10-12, 2006

“Codes of Conduct as a Substitute for Social Capital When East Meets West,” World Business Ethics Forum, November 1-3, 2006, Hong Kong and Macau, China

“Codes of Conduct as a Substitute for Social Capital,” Trans-Atlantic Business Ethics Forum, The Wharton School, October 6-7, 2006, Philadelphia, Pennsylvania, United States

“Codes of Conduct as a Substitute for Social Capital,” Department of Legal Studies, The Wharton School, Philadelphia, September 25, 2006

“Flexible Sovereignty,” Redefining Sovereignty: An International Debate on Sovereignty and International Economic Law, Society of Legal Scholars, May 30-31, 2006, Oxford, England

“Ética Empresarial y Códigos de Conducta,” Implementation Process of Good Governance Programs, San Salvador, El Salvador, March 10, 2006

“Managing Ethical Behavior through Codes of Conduct,” Introducción a la Ética Empresarial, March 7, 2006, Guatemala City, Guatemala

“United States versus Lazarenko: Filling in the Gaps in Support of Regulation and Transnational Relationships,” Delaware Valley International Law Day, Temple Law School, October 29, 2005

“United States versus Lazarenko: Filling in the Gaps in Support of Regulation and Transnational Relationships,” Department of Legal Studies and Business Ethics, University of Pennsylvania, October 18, 2005

“Trade as an Instrument of Pax Mongolica,” Trade as the Guarantor of Peace, Liberty and Security?, International Economic Law Group, February 24-25, 2005, Washington, D.C., United States

“The Failure of the Doha Round and Its Impact on Asian Trade Regimes,” Faculty Workshop, Singapore Management University, November 2, 2004, Singapore

“The Failure of the Doha Round and Its Impact on Asian Trade Regimes,” International Law and China in Its Economic Transition, Chinese Society of International Economic Law, November 6-7, 2004, Xiamen, Fujian, China

“Corruption as an Assurance Problem,” a special presentation to the newly-elected President, members of his cabinet, and distinguished guests, Panama City, Panama, October 19, 2004

“Corruption as an Assurance Problem,” Asociación Panameña de Ejecutivos de Empresa, October 18, 2004, Panama City, Panama

“Trust in Institutions in Kazakhstan,” Middle East & Central Asia Politics, Economics and Society Conference, Salt Lake City, Utah, September 2004

“Trust in Institutions in Kazakhstan,” Academy of Legal Studies in Business National Conference, Ottawa, Canada, August 17-21, 2004

“Modeling Governance in Southeast Asia,” Faculty Seminar, Singapore Management University, July 15, 2004, Singapore

“Indicia of Imperialism in the Implementing Laws of the OECD Anticorruption Convention,” Singapore Management University, Singapore, July 8, 2004

“Corruption as an Assurance Problem,” conference on “The Profitable and the Powerless: International Accountability of Multinational Corporations,” American University, Washington, D.C., February 27, 2004

“Effects of Corruption on Development,” Caribbean-Central American Action Conference, Miami, Florida, December 6-8, 2003

“Reframing the Tension Between Globalization and Democracy With Reference to the Agreement on Sanitary and Phytosanitary Measures,” Department of Legal Studies Research Series, University of Pennsylvania, Philadelphia, Pennsylvania, October 2003

“Effects of Corruption on Development,” Forum on Ethics in the Financial Sector, Panama City, Panama, March 2003

“A Comparison of OECD Anticorruption Convention Implementing Laws for the Purpose of Evaluating Moral Imperialism,” Distinguished Ethics Speaker Series, University of Texas, February, 2003

“Privatization of Land in Rural Mongolia,” Central Eurasian Studies Society Annual Conference, Madison, Wisconsin, October 17-20, 2002

“A Comparison of OECD Anticorruption Convention Implementing Laws for the Purpose of Evaluating Moral Imperialism,” Department of Legal Studies Research Series, December, 2002

“A Comparison of OECD Anticorruption Convention Implementing Laws for the Purpose of Evaluating Moral Imperialism,” Academy of Legal Studies in Business Annual Conference, Las Vegas, Nevada, July 27-31, 2002

“Corruption as a Pan-Cultural Phenomenon: An Empirical Study In Countries at Opposite Ends of the Former Soviet Empire,” Academy of Legal Studies in Business National Conference, Las Vegas, Nevada, July 27-31, 2002 (this paper was co-written with George Siedel and Matt Kasden)

“The Impact of Corruption on Economic Development,” Conference on Ethics in Business and Economics: Challenges for Higher Education, Almaty, Kazakhstan, March 18-19, 2002

“Indigenous Perceptions of Corruption in Kazakhstan,” Annual Conference of the Central Eurasian Studies Society, University of Wisconsin, September 28-October 1, 2000

“Indigenous Perceptions of Corruption,” Academy of Legal Studies in Business Annual Conference, Baltimore, Maryland, August 8-12, 2000

“The Myth of Antibribery Laws as Transnational Intrusion,” Conference on Bribery and Corruption in the Twenty-First Century, Cornell Law School, Ithaca, New York, March 3-April 1, 2000

“Electronic Uncertainty Within the International Trade Regime,” American Society of International Law Annual Conference of International Economic Law, Washington, D.C., February, 2000

“Increasing Global Security by Controlling Transnational Bribery,” Conference on Post-Cold War International Security Threats, University of Michigan Law School, February 19-20, 1999

“Regulating Transnational Bribery in Times of Globalization and Fragmentation,” CEO Circle Speaker Series, Taipei, Taiwan, January 1999

“Are Extraterritorial Restrictions on Bribery a Desirable and Viable International Policy Goal Under the Global Conditions of the Late Twentieth Century,” The Carol and Lawrence Zicklin Center for Business Ethics Research, October 1998

“Regulating Transnational Bribery in Times of Globalization and Fragmentation,” Academy of Legal Studies in Business Annual Conference, San Diego, August 19-21, 1998

“The Use of Precedence in the World Trade Organization and its Implications for Emerging Economies,” Hong Kong University of Science and Technology, Business Faculty, Hong Kong, January, 1998

“Forgotten Linkages – Historical Institutionalism and Sociological Institutionalism and Analysis of the World Trade Organization,” Annual Conference of the Economic Law Group of the American Society of International Law, Washington, D.C., December, 1997

“The Use of Precedence in the World Trade Organization and its Implications for Emerging Economies,” The University of Indonesia, Faculty of Law, Jakarta, Indonesia, October, 1997

“The Use of Precedence in the World Trade Organization and its Implications for Emerging Economies,” The Vietnamese Chamber of Commerce and Industry, Hanoi, Vietnam, October, 1997

“The Use of Precedence in the World Trade Organization,” The University of Texas, August, 1997

“The Viability of Transplanted Law: Kazakhstani Perceptions of Kazakhstan’s Foreign Investment Code,” Conference on the Legal and Ethical Foundations of Reform in Emerging Economies, The Wharton School of the University of Pennsylvania, May, 1997

“Contract in Emerging Economies,” The Huber Hurst Seminar, University of Florida, Gainesville, Florida, February 1997

“Privatization in Central Asia: Postponement, Pitfalls and Opportunities,” Conference on the Struggle for Modernization and Democratization: The Case of the Former Soviet Republics of Central Asia, University of Minnesota, April 11-13, 1996

“GATT Doctrine” The Wharton School of the University of Pennsylvania, Legal Studies Series, October, 1995

“Trade, Values and Differentiating Trade Sanctions: A Commentary on The Free Trade-Fair Trade Debate,” Conference on Economic Analysis of International Law, Washington, D.C., May, 1995

“Countervailing Lax Environmental Standards,” The Wharton School of the University of Pennsylvania, Legal Studies Series, October, 1993

“Partial Settlement and the Right to Contribution Under Federal Securities Law,” The Wharton School of the University of Pennsylvania, February, 1992

#### **INVITED LECTURES AND TALK**

Commentator, The U.S., China, and International Law, Center for the Study of Contemporary China & Perry World House, University of Pennsylvania, October 6-7, 2016

“A Business Case for Controlling Corruption,” Working Meeting of the Asia Pacific Economic Cooperation, Lima, Peru, September 5, 2016

Keynote Address, International Conference on Business Ethics and Corruption in the Globalized World, O.P. Jindal Global University, New Delhi, India, April 30-May 1, 2016

“The Goals and Structure of the Business Curriculum in North America: For Comparison,” Conference on Institutional Mechanisms of Russian Economic Science and Education: Tradition, Reforms, International Comparisons, St. Petersburg State University of Economics and Finance, St. Petersburg, Russia, April 26, 2016

Commentator, Public Regulation and Private Governance: Competitors or Collaborators?, University of Connecticut, April 13-15, 2016

“Effects of Corruption,” University of Washington School of Law, Program on Corruption, February 1, 2016

“Combatting Corruption,” University of Miami School of Business Administration, Program on Corruption, January 7, 2016

Co-Organizer and Moderator, Inaugural Conference of the Anti-Corruption Law Interest Group, Philadelphia, Pennsylvania, October 2-3, 2015

“Stakeholder Trust,” Institute on Empirical Research, Higher School of Economics, Voronovo, Russia, July 29-30, 2015

“Institutional Integrity and Economic Growth,” Fundación Nicaragüense para el Desarrollo Económico y Social, Managua, Nicaragua, June 24, 2014

Panelist, “Bribery’s Victims,” Adapting to a Rapidly Changing World: Annual Conference of the American Society of International Law, Washington, D.C., April 9, 2015

Moderator, Panelist and Conference Host, Business Ethics and National Models of Behavior: Building an Ethical Global Economy and Russia’s Leadership Opportunities, Saint Petersburg State University of Economics, Saint Petersburg, Russia, April 2-3, 2015

“The Potential for Russian Leadership in the Control of Business Corruption,” Association of European Businesses Committee on Ethics and Compliance, Moscow, Russia, March 31, 2015

“The Potential for Russian Leadership in the Control of Business Corruption,” Russian Compliance Alliance, Moscow, Russia, March 30, 2015

“Corruption and Economic Development,” University of Washington School of Law, Seattle, Washington, January 12, 2015

Panelist, “Corruption, International Economic Law, & Development,” Biennial Conference of the American Society of International Law International Economic Law Interest Group, Denver, Colorado, November 13-15, 2014

“International Standards for Compliance,” G&M Global Compliance Conference, New Jersey, United States, May 29, 2014

“The APEC/Kuala Lumpur Standards,” Global MedTech Conference, Barcelona, Spain, May 21-22, 2014

“Creating Shared Value Strategies in Latin America, Wharton Global Alumni Forum, Panama City, Panama, March 13-15

“Collective Action Programs,” Russian Compliance Alliance, Moscow, Russia, January 10-11, 2014

“Collective Action Programs,” U.S. Russia Business Council, Washington, D.C., January 4, 2014

“Corruption,” University of Washington Law School, Seattle, Washington, January 21, 2014

“Certification Programs in Emerging Economies,” Compliance Certification Workshop, Association of European Businesses, Moscow, Russia, July 1, 2013

“Conditions for a Market Economy,” St. Petersburg Polytechnical University, St. Petersburg, Russia, April 4, 2013

“Trends and Effects of the Foreign Corrupt Practices Act,” Financial Innovation in a Changing World, University of Virginia School of Law, Charlottesville, Virginia, February 22, 2013

“Sustaining Growth: New Frontiers in a Shifting Landscape,” Harvard Project for Asian and International Relations, Cambridge, Massachusetts, February 17, 2013

Guest Lecturer, Theories and Tools for Combatting Corruption, University of Washington School of Law, Seattle, Washington, January 14, 2013

Keynote Speaker and Negotiations Consultant, Federation of Indian Industries Deliberation of a Code of Conduct for the Construction Industry, New Delhi, India, September 3-4, 2012

Keynote Speaker, Conference on Emerging Markets and the New Dynamics of Management, International Management Research Academy, London, May 17-18, 2012

“The Moral Imperative for Nonstate Actors to Refrain from Corruption Acts,” Corruption in Asia: Law and Governance, Corporate Responsibility, and Media, University of Pennsylvania Law School, February 10, 2012

“Supplementing a Business Ethics Curriculum with Research,” Address to the Faculty of the University of Yaroslavl and Invited Guests, University of Yaroslavl, Yaroslavl, Russia, February 7, 2012

“Why APEC Trade Ministers Should Give Substantial Attention to Business Ethics and Values – If They Want to Encourage Development, Trade, and Growth,” Asian Pacific Economic Cooperation, Ministerial Meeting, Big Sky, Montana, May 13, 2011

“Teaching Business Ethics,” University Roundtable, Institute of Finance and Economics, St. Petersburg, Russia, February 25, 2011

“Teaching Business Ethics,” Master Class, Moscow State University, Moscow, Russia, February 17, 2011

“Academic Corruption,” Moscow Pedagogical Institute, February 15, 2010

“Corruption,” Moscow State University, Moscow, Russia, November 13, 2010

“Corruption, Trust and Development,” Roundtable of Business Leaders, St. Petersburg, Russia, April 14, 2010

“Global Expectations Regarding Business Behaviors,” Russian State University for the Humanities, Moscow, Russia, April 9, 2010

“Transforming the Nature of Commercial Institutions in the Emerging Economies: Transparent and Ethical Models for Building an Anticorruption Society,” A Meeting With Four Great Minds, University of Utara Malaysia, July 29-30, 2009

“The Effect of Emerging Markets on Global Trends,” Global Market Trends Conference, Copper Development Association, September 10-12, 2008, Chicago, Illinois

“Implementing a Code of Conduct in a Global Business,” The Anti-Corruption Summit, Chicago, Illinois, April 15-17, 2008

“Business Ethics in a Changing Global Environment,” Rotarc, Kolkata, India, March 8, 2007

“The Relationship between Ethical Conduct and Economic Development,” University Presentation by Invitation of the Founder, Amity University, March 12, 2007, Delhi, India

“Transparency and Corporate Governance,” Inter-American Conference on Corporate Social Responsibility, December 10-12, 2006, Salvador de Bahia, Brasil

“Corruption and Transnational Business Relationships,” Lecture for Doctoral Students, Xi'an Jiaotong University, November 22, 2007

“Good Governance and Business Ethics,” Universidad Interamericana, Panama City, Panama, January 2007

“Ethics as Part of a Business Curriculum,” University Presentation, University of Ciudad del Este, May 28, 2006, Ciudad del Este, Paraguay

Panel on Political Corruption, Research on Corruption and Its Control: The State of the Art, Hills Program on Governance, the World Bank Institute, and the Zicklin Center for Business Ethics Research, University of Pennsylvania, March 2006

“The Transition from Capitalism to Socialism,” seminar hosted by large businesses in Venezuela, Caracas, Venezuela, October 2005

“The Dangers of Measurement,” Conference on the Assessment of Academic Residential Communities, Cornell University, June 2005

Visiting Lectures, Xiamen University, Xiamen, China, December 2004

Chair, panel on international implications of ISCT, Contractarian Approaches to Business Ethics: Evolution of Integrative Social Contract Theory, The Wharton School, November 2004

“The Role of Law in Combating Official Corruption,” Mapping New Boundaries: Shifting Norms in International Law, American Society of International Law Annual Conference, March 31-April 3, 2004, Washington, D.C., United States

Discussant, “Current Legal Topics in Central Eurasia,” Central Eurasian Studies Society Annual Conference, Harvard University, Cambridge, Massachusetts, October 2-5, 2003

“International Enablement of Transnational e-Business,” United Nations, Geneva, Switzerland, March, 2001

“What Do We Know About Corruption,” Center for Applied Philosophy and Ethics/Poe-Family Southern Business Ethics Program, University of Florida, February 2001

“Transnational Law as Narrative,” Annual Conference of the International Society of Narrative, Dartmouth College, April 1999

“An Emerging Atlantic Community,” Globalization and Fragmentation: Coping With a Changed World, American-German Young Leaders Conference, Hamburg, Germany, August, 1997 [also served as one of the U.S. delegates]

“Dispute Settlement in the World Trade Organization,” Jakarta Business Council, Jakarta, Indonesia, October, 1997

“Structuring Control of Risk,” Conference on Controlling Risk in the International Capital Markets, University of Pennsylvania International Capital Markets and Banking Law Association & Journal of International Law, Philadelphia, Pennsylvania, January, 1997

Panelist, “Teaching International Business Transactions,” Annual Conference of the Academy of Legal Studies in Business, Quebec, Canada, August, 1996

Keynote Speaker (1996) and Lecturer, “Negotiating International Joint Ventures,” International Law Institute seminar for foreign government officials and business executives, Washington, D.C., May 1996, May 1995, May 1994, May 1993

Panelist, “Educating the Editors,” National Conference on Law Reviews, San Antonio, Texas, March 17, 1995

“Student Edited Law Reviews,” National Conference on Law Reviews, Detroit, Michigan, April 6, 1991

## **REVIEWER**

African Journal of Political Science and International Relations  
American Business Law Journal  
Asia Pacific Law Review  
Crime, Law and Social Change  
Harvard Law Review  
International Journal of Public Law and Policy  
Journal of Anthropology Research  
Journal of Legal Studies in Education  
Korean Journal of Law and Legislation  
Osgoode Hall Law Journal  
Stanford Law Review  
Utrecht Journal of International and European Law

## **UNIVERSITY SERVICE** (\* indicates current service)

### *University of Pennsylvania*

Faculty Director of College Houses and Academic Services  
Faculty Director of Stouffer College House\*  
University Council Steering Committee  
University Council  
Faculty Senate Executive Committee  
Committee on Campus and Community Life (member and chair)  
Committee on Safety and Security  
CCTV Advisory and Approval Committee (member and chair)  
Faculty Council on Academic Access\*  
Faculty Liaison to the University Trustee’s Committee on Student Life\*  
Penn Humanities Forum Advisory Board\*  
Center for East Asian Studies Advisory Board  
Penn Student Federal Credit Union Advisory Board\*  
Committees to Review and Rewrite University Alcohol Policy  
President’s Alcohol Rapid Response Team  
President’s Committee on Safety and Security in a Diverse Environment  
University Police Advisory Board  
Provost/Faculty Senate Committee to Evaluate the Use of Teaching Evaluations  
Midstates Accreditation Review, Academic Access and Equity Working Group  
Residential Faculty Council\*  
Committee on Open Expression  
Committee on Committees

### *Wharton School*

Affirmative Action Officer  
Curriculum Committee (member and chair)  
MBA Executive Committee (member and chair)  
Committee on Academic Freedom and Responsibility  
Committee for the Ten Year Review of the Undergraduate Curriculum  
Committee for Review of Undergraduate Curriculum  
Committee for Review of Management 100  
Curriculum Implementation Review Committee\*  
Wharton Social Impact Initiative Advisory Board\*

### *Department*

Undergraduate Advisor\*  
Director of the Law Program  
Course Head, LGST 101  
Search Diversity Adviser\*  
Social Impact and Responsibility Concentration Advisor  
various search, appointment, reappointment and promotion committees

### **PROFESSIONAL SERVICE**

President and member of Executive Committee, Academy of Legal Studies in Business (elected 2013)

Anti-Corruption Law Interest Group, American Society of International Law (co-chair 2013 to present)

International Economic Law Group, American Society of International Law (co-chair 2003 to 2005, Advisory Board 2007 to present)

UN/CEFACT Law Group (United Nations experts committee on electronic commerce and trade facilitation) (co-chair 1998 to 2005)

### **PROFESSIONAL EXPERIENCE**

Associate, Dickstein, Shapiro & Morin, Washington, D.C., 1991-1992

Associate, Ropes & Gray, Boston, Massachusetts, 1989-1991

primarily engaged in transnational corporate transactions

Judicial Clerk, The Honorable Robert R. Beezer of the United States Court of Appeals for the Ninth Circuit, 1988-1989

### **PROFESSIONAL MEMBERSHIPS**

Academy of Legal Studies in Business

American Council on Germany

American Society of International Law

Central Eurasian Study Society

admitted to the District of Columbia and the Massachusetts bars