

CURRICULUM VITA - STANLEY BAIMAN

Academic Address:

The Wharton School
University of Pennsylvania
Philadelphia, Pennsylvania 19104-6365

Education:

Ph.D. 1974 Graduate School of Business, Stanford University

B.S. 1968 College of Business Administration, The Ohio State University

Academic Positions:

Winter - Spring 2005 Sabbatical at Graduate School of Business, Stanford University

Fall 2004 Sabbatical at London School of Economics

1999 – Present Ernst & Young Professor of Accounting, The Wharton School,
University of Pennsylvania

1997 – 2004 Chair, Department of Accounting, The Wharton School, University
of Pennsylvania

1992 - Present Professor of Accounting, The Wharton School, University of
Pennsylvania

1991-1992 Visiting Professor of Accounting, The Wharton School, University
of Pennsylvania

1987-1988 Visiting Professor of Business, Graduate School of Business,
Stanford University

1984 -1992 Professor of Accounting and Industrial Administration Graduate
School of Industrial Administration, Carnegie-Mellon University

1980 -1984 Associate Professor, Graduate School of Business, University of
Pittsburgh

1977 - 1978 Visiting Assistant Professor, Graduate School of Business,
Stanford University

1974 - 1980 Assistant Professor of Industrial Administration, Graduate School
of Industrial Administration, Carnegie-Mellon University

1973 - 1974 Research Associate, Graduate School of Industrial Administration,
Carnegie-Mellon University

Publications:

“Bilateral Incentive Problems and the Form of Start-Up Financing”, (with Sasson Bar-Yosef and Bharat Sarath) forthcoming in *Bridging the GAAP: Recent Advances in Finance and Accounting* edited by Itzhak Venezia and Zvi Weiner.

“Informativeness, Incentive Compensation, and the Choice of Inventory Buffer” (joint with Serguei Netessine and Richard Saouma), *Accounting Review* 85 (2010): 1839 – 1860.

“Non-Financial Performance Measures as Coordination Devices” (joint with Tim Baldenius), *Accounting Review* 84 (2009): 299 - 330.

“Resource Allocation Auctions Within Firms” (joint with Paul Fischer, Madhav Rajan and Richard Saouma), *Journal of Accounting Research* Vol. 45, December 2007, pp. 915 – 946.

“The Role of Information and Opportunism in the Choice of Buyer-Supplier Relationships” (with Madhav Rajan) *Journal of Accounting Research* Vol. 40, May 2002, pp. 247 - 278.

“Incentive Issues in Inter-Firm Relationship” (with Madhav Rajan) *Accounting, Organization and Society* Vol. 27, Number 3, April 2002, pp. 213 – 238.

“Performance Measurement and Design in Supply Chains” (with Paul Fischer and Madhav Rajan) *Management Science* Vol. 47, No. 1, 2001, pp. 173 – 188.

“Information, Contracting, and Quality Costs” (with Paul Fischer and Madhav Rajan) *Management Science* Vol. 46, No. 6, 2000, pp. 776-789

“Optimal Cost Targets and Incentives for Acquiring Expertise” (with Madhav Rajan) *Review of Accounting Studies* Vol. 3, No. 3, 1998

“The Relation Between Capital Markets, Financial Disclosure, Production Efficiency and Insider Trading” (with Robert E. Verrecchia), *Journal of Accounting Research*, Spring 1996, pp. 1 - 22.

“Earnings and Price-Based Compensation Contracts in the Presence of Discretionary Trading” (with Robert E. Verrecchia), *Journal of Accounting and Economics*, July 1995, pp. 93-121.

“Organizational Design for Business Units” (with David Larcker and Madhav Rajan), *Journal of Accounting Research*, Autumn 1995, pp. 205 - 230.

"Centralization, Delegation, and Shared Responsibility in the Assignment of Capital Investment Decision Rights," (with Madhav Rajan), *Journal of Accounting Research*, Supplement 1995, pp. 135 - 176.

“On the Usefulness of Discretionary Bonus Scheme,” (with Madhav Rajan), *Accounting Review*, July 1994, pp. 217-229.

“On The Design of Unconditional Monitoring Systems in Agencies,” (with Madhav Rajan), *Accounting Review*, January 1994, pp. 217 - 229.

Discussion of "Conservatism and Auditor-Client Negotiations", *Journal of Accounting Research*, Supplement 1991, pp. 55-59.

"The Value of Pre-Decision Information in a Principal-Agent Context" (with K. Sivaramakrishnan), *Accounting Review*, October 1991, pp. 747-766.

"Collusion in Auditing" (with J. H. Evans III and N. Nagarajan), *Journal of Accounting Research*, Spring 1991, pp. 1-18.

"Optimal Employment Contracts and the Returns to Monitoring in a Principal-Agent Context" (with J.H. May and A. Mukherji), *Contemporary Accounting Research*, Spring 1990, pp. 761 - 799.

"Agency Research in Managerial Accounting: A Second Look", *Accounting, Organizations and Society*, Vol. 15, No. 4, 1990.

"An Experiment Testing the Behavioral Equivalence of Strategically Equivalent Employment Contracts" (with Barry L. Lewis), *Journal of Accounting Research*, Spring 1989, pp. 1 - 20.

"Optimal Contracts with a Utility-Maximizing Auditor" (with J.H. Evans, III and J.C. Noel), *Journal of Accounting Research*, Autumn 1987, pp. 217 - 244.

"Comments on Deterring Antitrust Violations: Some Experimental Evidence," (S. Moriarty, ed.) University of Oklahoma Conference on Laboratory Market Studies, 1985.

"Noncontrollable Costs and Responsibility Accounting" (with J. C. Noel), *Journal of Accounting Research*, Autumn 1985, pp. 486 - 501.

"Pre-Decision Information and Participative Management Control Systems" (with J.H. Evans, III), *Journal of Accounting Research*, Autumn 1983, pp. 371 - 395.

"Agency Research in Managerial Accounting: A Survey", *Journal of Accounting Literature*, Vol. 1 1982, pp. 154 - 213.

"Comments on the Concept of Fairness in the Choice of Joint Cost Allocation Methods," Proceedings of the Oklahoma University Conference on Joint Cost Allocation, 1981.

"Economically Optimal Performance Evaluation and Control Procedures" (with J. Demski), *Journal of Accounting Research*, Supplement 1980, pp. 184 - 220.

"Variance Analysis Procedures as Motivational Devices" (with J. Demski), *Management Science*, August 1980, pp. 840 - 848.

"Comments on Externalities and Financial Accounting," *Journal of Finance*, May 1980.

"Comments on Auditing and Truthful Reporting", *Journal of Accounting Research*. Supplement 1979.

"The Evaluation and Choice of Internal Information Systems Within a Multiperson World", *Journal of Accounting Research*, Spring 1975, pp. 1 - 15.

Contributions to Books or Monographs:

"Contract Theory Analysis of Managerial Accounting Issues", in Contemporary Issues in Management Accounting, Ed. Dr. Alnoor Bhimani, Oxford University Press, 2006.

"Agency Research in Managerial Accounting: A Survey," reprinted in Modern Accounting Research: History, Survey and Guide, R. V. Mattessich (ed.), 1984. Also reprinted in Accounting Control Systems: A Behavioral and Technical Integration, Jan Bell (ed.), 1983.

"Agency Research in Managerial Accounting: A Second Look", reprinted in Accounting Research in the 1980's and its Future Relevance, Monograph #17, Canadian Certified General Accountants' Research Foundation.

Chapter on "Agency Theory", in Encyclopedia of the History of Accounting and Accounting Thought, Eds. Chatfield and Vangermeersch, Garland Press, 1993.

Current Working Papers:

"Multi-Stage Resource Allocation under Asymmetric Information", (joint with Mirko Heinle and Richard Saouma), under review at *Journal of Accounting Research*.

Editorial Board Membership:

Managing Editor, *Review of Accounting Studies* 2007 – 2009
Editor, *Review of Accounting Studies* 1994 –1996, 2007 - present
Editorial Board, *Journal of Accounting Research* 1982 - 1994
Editorial Board, *Journal of Accounting and Economics* 1981 - 2006
Editorial Board, *Accounting, Organizations, and Society* 1988 - present
Associate Editor, *Accounting Review* 1996 – 1999
Ad Hoc Reviewer for Israel Science Foundation

Recent Presentations:

Hebrew University, Jerusalem Israel July 2010

University of Bocconi, Milan Italy March 2010

Plenary Speaker Sixth Accounting Research Workshop, June 12-13, 2009, University of Bern, Bern Switzerland.

Catholic University of Portugal, Lisbon, July 2008

Norwegian School of Economics and Business Administration, Bergen, July 2008

Tel Aviv University, April 2008

Hebrew University, April 2008

Recent Service to School and Profession:

Chair, Wharton Personnel Committee

Chair, Fishman-Davidson Center for Service and Operations Management, Quinquennial Review, 2009 – 2010

Member, Hearing Board for the Student Disciplinary System and the Code of Academic Integrity

Dept. representative on Wharton Personnel Committee 2007 - 2008

Chair, Wharton Health Care Dept. Quinquennial Review, 2007 – 2008

Member Committee of External Experts, Department of Accounting, London School of Economics, 2005 - present

Dean's Advisory Council 2007 -2008

Recent Teaching Awards:

2003 EMTM Excellence in Teaching Award

Other Recent Awards and Honors:

Taught a 4 day doctoral course at the University of Bern for students from Switzerland, Austria, Germany, The Netherlands, France and England.

Distinguished Lecturer in Accounting, Tepper School of Business, July 2008. I was asked to give 5 lectures to the accounting faculty and doctoral students of the Tepper School.

Recipient 2005 Ohio State University chapter of Beta Alpha Psi, Distinguished Alumnus of the Year Award, May 2005

Recipient of the 2004 Notable Contribution to Management Accounting Literature Award, American Accounting Association for "The Role of Information and Opportunism in the Choice of Buyer-Supplier Relationships" (with Madhav Rajan) *Journal of Accounting Research* Vol. 40, May 2002, pp. 247 - 278.